

# POLYNOMIAL RECURRENCE WITH LARGE INTERSECTION OVER COUNTABLE FIELDS

BY

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ABSTRACT

We give a short proof of polynomial recurrence with large intersection for additive actions of finite-dimensional vector spaces over countable fields on probability spaces, improving upon the known size and structure of the set of strong recurrence times.

## 1. Introduction

Let  $F$  be a countable field and let  $\phi \in F[x]$  have zero constant term. Given a measure preserving action  $T$  of the additive group of  $F$  on a probability space  $(X, \mathcal{B}, \mu)$ , a set  $B \in \mathcal{B}$  and  $\varepsilon > 0$ , we will show that, for any  $\varepsilon > 0$ , the set

$$\{u \in F : \mu(B \cap T^{\phi(u)}B) \geq \mu(B)^2 - \varepsilon\}$$

of strong recurrence times is large, in the sense of being  $\text{IP}_r^*$  up to a set of zero Banach density. (These notions of size are defined below.) In fact, we prove a more general result regarding strong recurrence for commuting actions of countable fields along polynomial powers. This strengthens and extends recent results from [MW14] regarding actions of fields having finite characteristic. Here are the relevant definitions.

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*Definition 1.1:* Let  $G$  be an abelian group. An **IP set** or **finite sums set** in  $G$  is any subset of  $G$  containing a set of the form

$$\text{FS}(x_1, x_2, \dots) := \left\{ \sum_{n \in \alpha} x_n : \emptyset \neq \alpha \subset \mathbb{N}, |\alpha| < \infty \right\}$$

for some sequence  $n \mapsto x_n$  in  $G$ . Given  $r \in \mathbb{N}$ , an **IP<sub>r</sub> set** in  $G$  is any subset of  $G$  containing a set of the form

$$\text{FS}(x_1, x_2, \dots, x_r) := \left\{ \sum_{n \in \alpha} x_n : \emptyset \neq \alpha \subset \{1, \dots, r\} \right\}$$

for some  $x_1, \dots, x_r$  in  $G$ . A subset of  $G$  is **IP\*** if its intersection with every IP set in  $G$  is non-empty, and **IP<sub>r</sub>\*** if its intersection with every IP<sub>r</sub> set is non-empty. The term IP was introduced in [FW78], the initials standing for “idempotence” or “infinite-dimensional parallelepiped” and IP<sub>r</sub>\* sets were introduced in [FK85]. The **upper Banach density** of a subset  $S$  of  $G$  is defined by

$$d^*(S) = \sup\{d_{\Phi}^*(S) : \Phi \text{ a Følner sequence in } G\}$$

where

$$d_{\Phi}^*(S) = \limsup_{N \rightarrow \infty} \frac{|S \cap \Phi_N|}{|\Phi_N|}$$

and a **Følner sequence** is a sequence  $N \mapsto \Phi_N$  of finite, non-empty subsets of  $G$  such that

$$\lim_{N \rightarrow \infty} \frac{|(g + \Phi_N) \cap \Phi_N|}{|\Phi_N|} = 1$$

for all  $g$  in  $G$ . Lastly,  $S \subset G$  is said to be **almost IP\*** (written AIP\*) if it is of the form  $A \setminus B$  where  $A$  is IP\* and  $d^*(B) = 0$ , and said to be **almost IP<sub>r</sub>\*** (written AIP<sub>r</sub>\*) if it is of the form  $A \setminus B$  where  $A$  is IP<sub>r</sub>\* and  $d^*(B) = 0$ .

Although when  $G = \mathbb{Z}$  any IP set with non-zero generators is unbounded, this is not the case in general. For example, if  $G = \mathbb{Q}$  then the IP set generated by the sequence  $n \mapsto 1/n^2$  remains bounded.

To state our result we recall some definitions from [BLM05]. Fix a countable field  $F$ . By a **monomial** we mean a mapping  $F^n \rightarrow F$  of the form  $(x_1, \dots, x_n) \mapsto ax_1^{d_1} \cdots x_n^{d_n}$  for some  $a \in F$  and integers  $d_1, \dots, d_n \geq 0$  not all zero. Let  $V$  and  $W$  be finite-dimensional vector spaces over  $F$ . A mapping  $F^n \rightarrow W$  is a **polynomial** if it is a linear combination of vectors with monomial coefficients. A mapping  $V \rightarrow W$  is a **polynomial** if, in terms of a basis of  $V$

over  $F$ , it is a polynomial mapping  $F^n \rightarrow W$ . Note that whether a mapping is polynomial or not is independent of the basis chosen. Here is our main result.

**THEOREM 1.2:** *Let  $W$  be a finite-dimensional vector space over a countable field  $F$  and let  $T$  be an action of the additive group of  $W$  on a probability space  $(X, \mathcal{B}, \mu)$ . For any polynomial  $\phi : F^n \rightarrow W$ , any  $B \in \mathcal{B}$  and any  $\varepsilon > 0$  the set*

$$(1.3) \quad \{u \in F^n : \mu(B \cap T^{\phi(u)}B) > \mu(B)^2 - \varepsilon\}$$

is  $\text{AIP}_r^*$  for some  $r \in \mathbb{N}$ .

Our result implies in particular that (1.3) is syndetic. In fact, as we will show in Section 3, we have generalized [MW14, Corollary 5], where, in the finite characteristic case, the set (1.3) is shown to belong to every essential idempotent ultrafilter on  $F$ . This latter notion of largeness, introduced in [BD08], lies between syndeticity and  $\text{AIP}_r^*$ .

We also remark that, by our definition of polynomial above, all polynomials have zero constant term. Accordingly Theorem 1.2 says nothing about polynomials with non-zero constant term. It would be interesting to know whether Theorem 1.2 can be extended to a larger class of polynomials, as we have recently done [BR15, Theorem 1.17] for polynomials over rings of integers of algebraic number fields. A positive answer to this question would constitute a common generalization of Theorem 1.2 and a theorem of Larick [Lar98] (see also [BLM05, Theorem 3.10]).

The conclusion of Theorem 1.2 is of an additive nature: the notion of being  $\text{AIP}_r^*$  is only related to the additive structure of  $F^n$ . It is natural to ask, when  $n = 1$ , whether (1.3) is also large in terms of the multiplicative structure of  $F$ . We address this question in Section 4, proving that in fact (1.3) intersects any multiplicatively central set that has positive upper Banach density. Multiplicatively central sets are defined in Section 4 and upper Banach density is as defined above.

Theorem 1.2 is proved in Section 3. In Section 2 we prove the facts we will need about  $\text{IP}_r^*$  sets. Finally, in Section 4 we relate the largeness of the set (1.3) to the multiplicative structure of  $F$  in the case  $n = 1$ .

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### 2. Finite IP sets

Let  $\mathcal{F}$  be the collection of all finite, non-empty subsets of  $\mathbb{N}$ . Write  $\alpha < \beta$  for elements of  $\mathcal{F}$  if  $\max \alpha < \min \beta$ . A subset of  $\mathcal{F}$  is an FU set if it contains a sequence  $\alpha_1 < \alpha_2 < \dots$  from  $\mathcal{F}$  and all finite unions of sets from the sequence. Write  $\mathcal{F}_r$  for all finite, non-empty subsets of  $\{1, \dots, r\}$ . A subset of  $\mathcal{F}_r$  (or of  $\mathcal{F}$ ) is an  $\text{FU}_s$  set if it contains sets  $\alpha_1 < \dots < \alpha_s$  from  $\mathcal{F}_r$  (or from  $\mathcal{F}$ ) and all finite unions. For any  $\text{IP}_r$  set  $A \supset \text{FS}(x_1, \dots, x_r)$  in an abelian group  $G$  there is a map  $\mathcal{F}_r \rightarrow G$  given by  $\alpha \mapsto \sum \{x_i : i \in \alpha\}$ , and for any IP set in  $G$  there is a map  $\mathcal{F} \rightarrow G$  defined similarly.

Furstenberg and Katznelson [FK85] showed that any  $\text{IP}_r^*$  set  $A$  in  $\mathbb{Z}$  satisfies

$$\liminf_{N \rightarrow \infty} \frac{|A \cap \{1, \dots, N\}|}{N} \geq \frac{1}{2^{r-1}}$$

so for any  $r \in \mathbb{N}$  one can construct an  $\text{IP}^*$  set that is not  $\text{IP}_r^*$ . The set  $k\mathbb{N}$ , with  $k$  large enough, is one such example. As the following example shows, by removing well-spread  $\text{IP}_r$  sets from  $\mathbb{Z}$ , it is possible to construct a set that is  $\text{IP}^*$  but never  $\text{IP}_r^*$ .

*Example 2.1:* Let  $A_r$  be the  $\text{IP}_r$  set with generators  $x_1 = \dots = x_r = 2^{2^r}$  so that  $A_r = \{i \cdot 2^{2^r} : 1 \leq i \leq r\}$ . Let  $A$  be the union of all the  $A_r$ . We claim that  $A$  cannot contain an IP set, from which it follows that  $\mathbb{N} \setminus A$  is  $\text{IP}^*$ . Since  $A$  contains  $\text{IP}_r$  sets for arbitrarily large  $r$  we also have that  $\mathbb{N} \setminus A$  is not  $\text{IP}_r^*$  for any  $r$ .

Suppose that  $x_n$  is a sequence generating an IP set in  $A$ . If one can find  $x_i \in A_r$  and  $x_j \in A_s$  with  $r < s$ , then  $x_j + x_i$  does not belong to  $A$  because the gaps in  $A_s$  are larger than the largest element in  $A_r$ . On the other hand, if all  $x_i$  belong to the same  $A_r$ , then some combination of them is not in  $A$  because the gap between  $A_r$  and  $A_{r+1}$  is too large.

A family  $\mathcal{S}$  of subsets of  $G$  is said to have the **Ramsey property** if  $S_1 \cup S_2$  belonging to  $\mathcal{S}$  always implies that at least one of  $S_1$  or  $S_2$  contains a member of  $\mathcal{S}$ . It follows from the reformulation of Hindman’s theorem [Hin74], stated below, that the collection of all IP subsets of a group  $G$  has the Ramsey property. A **coloring** of a set  $A$  is any map  $c : A \rightarrow \{1, \dots, k\}$  for some  $k \in \mathbb{N}$ . Given a coloring of  $A$ , a subset  $B$  is then called **monochromatic** if  $c$  is constant on  $B$ .

**THEOREM 2.2** ([Hin74, Corollary 3.3]): *For any coloring of  $\mathcal{F}$  one can find  $\alpha_1 < \alpha_2 < \dots$  in  $\mathcal{F}$  such that the collection of all finite unions of the sets  $\alpha_i$  is monochromatic.*

Given a family  $\mathcal{I}$  of subsets of  $G$ , the **dual family** of  $\mathcal{I}$  is the collection  $\mathcal{I}^*$  of subsets of  $G$  that intersect every member of  $\mathcal{I}$  non-emptily. Taking  $\mathcal{I}$  to consist of all IP sets, one can deduce that the intersection of an  $\text{IP}^*$  set with an IP set contains an IP set and that the intersection of two  $\text{IP}^*$  sets is again  $\text{IP}^*$ . The collection of all  $\text{IP}_r$  sets does not have the Ramsey property, but there is a suitable replacement that allows one to deduce results about  $\text{IP}_r^*$  sets similar to the ones for  $\text{IP}^*$  sets mentioned above.

**PROPOSITION 2.3:** *For any  $s$  and  $k$  in  $\mathbb{N}$  there is an  $r$  such that any  $k$ -coloring of any  $\text{IP}_r$  set yields a monochromatic  $\text{IP}_s$  set.*

*Proof.* Suppose to the contrary that one can find  $s$  and  $k$  in  $\mathbb{N}$  such that, for any  $r$ , there is a  $k$ -coloring of an  $\text{IP}_r$  set  $A_r$  having no monochromatic  $\text{IP}_s$  subset. This coloring of  $A_r$  gives rise to a coloring  $c_r$  of  $\mathcal{F}_r$  via the canonical map  $\mathcal{F}_r \rightarrow A_r$ . That no  $A_r$  contains a monochromatic  $\text{IP}_s$  set implies that no  $\mathcal{F}_r$  contains a monochromatic  $\text{FU}_s$  set. We now use Hindman’s theorem to reach a contradiction.

Let  $\alpha_i$  be an enumeration of  $\mathcal{F}$ . We construct a coloring  $c : \mathcal{F} \rightarrow \{1, \dots, k\}$  by induction on  $i$ . To begin, note that  $\alpha_1 \in \mathcal{F}_r$  whenever  $r > \max \alpha_1$  so we can find a strictly increasing sequence  $r(1, n)$  in  $\mathbb{N}$  such that  $c_{r(1, n)}(\alpha_1)$  takes the same value for all  $n$ . Put  $c(\alpha_1) = c_{r(1, n)}(\alpha_1)$ . Now, assuming that we have found a strictly increasing sequence  $r(i, n)$  such that, for each  $1 \leq j \leq i$ , the color  $c_{r(i, n)}(\alpha_j)$  is constant in  $n$  and equal to  $c(\alpha_j)$ , choose a strictly increasing subsequence  $r(i + 1, n)$  of  $r(i, n)$  such that  $c_{r(i+1, n)}(\alpha_{i+1})$  is constant and let this value be  $c(\alpha_{i+1})$ . The colors of  $\alpha_1, \dots, \alpha_i$  are unchanged and the induction argument is concluded.

By Hindman’s theorem we can find  $\beta_1 < \dots < \beta_s$  in  $\mathcal{F}$  such that  $B = \text{FU}(\beta_1, \dots, \beta_s)$  is monochromatic, meaning  $c$  is constant on  $B$ . Choose  $i$  such that  $B \subset \{\alpha_1, \dots, \alpha_i\}$  and then choose  $n$  so large that  $r(i, n) > \max \beta_s$ . It follows that  $B \subset \mathcal{F}_{r(i, n)}$  is monochromatic because  $c_{r(i, n)}(\beta) = c(\beta)$  for all  $\beta \in B$ . Thus  $\mathcal{F}_{r(i, n)}$  contains a monochromatic  $\text{FU}_s$  set, which is a contradiction. ■

With this version of partition regularity for  $\text{IP}_r$  sets we can deduce some facts about  $\text{IP}_r^*$  sets.

**PROPOSITION 2.4:** *Given any  $s \in \mathbb{N}$  there is some  $r \in \mathbb{N}$  such that any  $\text{IP}_s^*$  set intersects any  $\text{IP}_r$  set in an  $\text{IP}_s$  set.*

*Proof.* Let  $A$  be an  $IP_s^*$  set and choose by the previous proposition some  $r$  such that any two-coloring of an  $IP_r$  set yields a monochromatic  $IP_s$  set. Let  $B$  be an  $IP_r$  set. One of  $B \cap A$  and  $B \setminus A$  contains an  $IP_s$  set. It cannot be  $B \setminus A$  because  $A$  is  $IP_s^*$  and disjoint from it. Thus  $A \cap B$  contains an  $IP_s$  set as desired. ■

**PROPOSITION 2.5:** *Given any  $r, s$  in  $\mathbb{N}$  there is some  $\alpha(r, s) \in \mathbb{N}$  such that if  $A$  is  $IP_r^*$  and  $B$  is  $IP_s^*$  then  $A \cap B$  is  $IP_{\alpha(r,s)}^*$ .*

*Proof.* Let  $A$  be  $IP_r^*$  and let  $B$  be  $IP_s^*$  with  $r \geq s$ . Choose  $q$  so large that  $A \cap C$  contains an  $IP_r$  set whenever  $C$  is an  $IP_q$  set. This is possible by the previous result. Since  $A \cap C$  contains an  $IP_r$  set and  $r \geq s$  the set  $(A \cap C) \cap B$  must be non-empty. Since  $C$  was arbitrary  $A \cap B$  is an  $IP_q^*$  set. Put  $\alpha(r, s) = q$ . ■

### 3. Proof of Theorem 1.2

First we note that we may assume, by restricting our attention to the sub- $\sigma$ -algebra generated by the orbit of  $B$ , that the probability space  $(X, \mathcal{B}, \mu)$  is separable.

We begin with a corollary of the Hales–Jewett theorem. For any  $n \in \mathbb{N}$  write  $[n] = \{1, \dots, n\}$ . Write  $\mathcal{P}A$  for the set of all subsets of a set  $A$ . Recall that, given  $k, m \in \mathbb{N}$ , a **combinatorial line** in  $[k]^{[m]}$  is specified by a partition  $U_0 \cup U_1$  of  $\{1, \dots, m\}$  with  $U_1 \neq \emptyset$  and a function  $\varphi : U_0 \rightarrow [k]$ , and consists of all functions  $[m] \rightarrow [k]$  that extend  $\varphi$  and are constant on  $U_1$ . With these definitions we can state the Hales–Jewett theorem.

**THEOREM 3.1 ([HJ63]):** *For every  $d, t \in \mathbb{N}$  there is  $r = \text{HJ}(d, t) \in \mathbb{N}$  such that for any  $t$ -coloring of  $[d]^{[r]}$  one can find a monochromatic combinatorial line.*

**COROLLARY 3.2:** *For any  $d, t \in \mathbb{N}$  there is  $r \in \mathbb{N}$  such that any  $t$ -coloring*

$$(\mathcal{P}\{1, \dots, r\})^d \rightarrow \{1, \dots, t\}$$

*contains a monochromatic configuration of the form*

$$(3.3) \quad \{(\alpha_1 \cup \eta_1, \dots, \alpha_d \cup \eta_d) : (\eta_1, \dots, \eta_d) \in \{\emptyset, \gamma\}^d\}$$

*for some  $\gamma, \alpha_1, \dots, \alpha_d \subset \{1, \dots, r\}$  with  $\gamma$  non-empty and  $\gamma \cap \alpha_i = \emptyset$  for each  $1 \leq i \leq d$ .*

*Proof.* Let  $r = \text{HJ}(2^d, t)$ . Define a map  $\psi : [2^d]^{[r]} \rightarrow (\mathcal{P}[r])^d$  by declaring  $\psi(w) = (\alpha_1, \dots, \alpha_d)$  where  $\alpha_i$  consists of those  $j \in [r]$  for which the binary expansion of  $w(j) - 1$  has a 1 in the  $i$ th position. Combinatorial lines in  $[2^d]^{[r]}$  correspond via this map to configurations of the form (3.3) in  $(\mathcal{P}[r])^d$ . ■

We use the above version of the Hales–Jewett theorem to derive the following topological recurrence result. Given  $n \in \mathbb{N}$  and a ring  $R$ , by a **monomial mapping** from  $R^n$  to  $R$  we mean any map of the form  $(x_1, \dots, x_n) \mapsto ax_1^{d_1} \cdots x_n^{d_n}$  for some  $a \in R$  and some  $d_1, \dots, d_n \geq 0$  not all zero.

PROPOSITION 3.4 (cf. [Ber10, Theorem 7.7]): *Let  $R$  be a commutative ring and let  $T$  be an action of the additive group of  $R$  on a compact metric space  $(X, d)$  by isometries. For any monomial mapping  $\phi : R^n \rightarrow R$ , any  $x \in X$  and any  $\varepsilon > 0$ , there is  $r \in \mathbb{N}$  such that the set*

$$\{u \in R^n : d(T^{\phi(u)}x, x) < \varepsilon\}$$

is  $\text{IP}_r^*$ .

*Proof.* Write  $\phi(x_1, \dots, x_n) = ax_1^{d_1} \cdots x_n^{d_n}$  for some  $a \in R$  and some  $d_i \geq 0$  not all zero. Let  $d = d_1 + \cdots + d_n$ . Put  $e_0 = 0$  and  $e_i = d_1 + \cdots + d_i$  for each  $1 \leq i \leq n$ . Fix  $x \in X$  and  $\varepsilon > 0$ . Let  $V_1, \dots, V_t$  be a cover of  $X$  by balls of radius  $\varepsilon/2^d$ . Let  $r = r(d, t)$  be as in Corollary 3.2. Fix  $u_1, \dots, u_r$  in  $R^n$ . Given  $\alpha \subset \{1, \dots, r\}$  write  $u_\alpha$  for  $\Sigma\{u_i : i \in \alpha\}$  and  $u_\alpha(i)$  for the  $i$ th coordinate of  $u_\alpha$ . By choosing for each  $(\alpha_1, \dots, \alpha_d) \in (\mathcal{P}\{1, \dots, r\})^d$  the minimal  $1 \leq i \leq t$  such that

$$T(au_{\alpha_1}(1) \cdots u_{\alpha_{e_1}}(1) \cdots u_{\alpha_{e_{n-1}+1}}(n) \cdots u_{\alpha_{e_n}}(n))x \in V_i,$$

we obtain via Theorem 3.2 sets  $\alpha_1, \dots, \alpha_d, \gamma \subset \{1, \dots, r\}$  with  $\gamma$  non-empty and disjoint from all  $\alpha_i$  which, combined with the expansion

$$au_\gamma(1)^{d_1} \cdots u_\gamma(n)^{d_n} = a \prod_{k=1}^n \prod_{i=e_{k-1}+1}^{e_k} u_\gamma(k) + u_{\alpha_i}(k) - u_{\alpha_i}(k)$$

and the fact that  $T$  is an isometry, yields  $d(T^{\phi(u_\gamma)}x, x) < \varepsilon$  as desired. ■

Let  $G$  be an abelian group. Actions  $T_1$  and  $T_2$  of  $G$  are said to **commute** if  $T_1^g T_2^h = T_2^h T_1^g$  for all  $g, h \in G$ . As we now show, iterating the previous result yields a version for commuting actions of rings.

COROLLARY 3.5: *Let  $R$  be a commutative ring and let  $T_1, \dots, T_k$  be commuting actions of the additive group of  $R$  on a compact metric space  $(X, d)$  by isometries. For any monomial mappings  $\phi_1, \dots, \phi_k : R^n \rightarrow R$ , any  $x \in X$  and any  $\varepsilon > 0$ , there is  $r \in \mathbb{N}$  such that*

$$(3.6) \quad \{u \in R^n : d(T_1^{\phi_1(u)} \cdots T_k^{\phi_k(u)}x, x) < \varepsilon\}$$

is  $\text{IP}_r^*$ .

*Proof.* Fix  $1 \leq i \leq k$ . By applying Proposition 3.4 to the  $R$  action  $r \mapsto T_i^r$ , we can find  $r_i \in \mathbb{N}$  such that

$$Z_i = \{u \in R^n : d(T_i^{\phi_i(u)}x, x) < \varepsilon/k\}$$

is  $IP_{r_i}^*$ . By Proposition 2.5, the intersection  $Z_1 \cap \dots \cap Z_k$  is  $IP_r^*$  for some  $r \in \mathbb{N}$ . Since the  $T_i$  are isometries, it follows that (3.6) is  $IP_r^*$  as desired. ■

Combining the preceding lemma with the following facts from [BLM05] will lead to a proof of Theorem 1.2. Let  $\phi : V \rightarrow W$  be a polynomial and let  $T$  be an action of  $W$  on a probability space  $(X, \mathcal{B}, \mu)$ . Assume that  $\phi V$  spans  $W$ . As in [BLM05], say that  $f$  in  $L^2(X, \mathcal{B}, \mu)$  is **weakly mixing** for  $(T, \phi)$  if  $\text{UD-lim}_v \langle T^{\phi(v)}f, g \rangle = 0$  for all  $g$  in  $L^2(X, \mathcal{B}, \mu)$ , where UD-lim denotes convergence with respect to the filter of sets whose complements have zero upper Banach density. This is the same as strong Cesàro convergence along every Følner sequence in  $V$ . Call  $f \in L^2(X, \mathcal{B}, \mu)$  **compact** for  $T$  if  $\{T^v f : v \in V\}$  is pre-compact in the norm topology. Denote by  $\mathcal{H}_{\text{wm}}(T, \phi)$  the closed subspace of  $L^2(X, \mathcal{B}, \mu)$  spanned by functions that are weakly mixing for  $(T, \phi)$ , and let  $\mathcal{H}_c(T)$  be the closed subspace of  $L^2(X, \mathcal{B}, \mu)$  spanned by functions compact for  $T$ . We have  $L^2(X, \mathcal{B}, \mu) = \mathcal{H}_c(T) \oplus \mathcal{H}_{\text{wm}}(T, \phi)$  by [BLM05, Theorem 3.17].

*Proof of Theorem 1.2.* Write  $\phi = \phi_1 w_1 + \dots + \phi_k w_k$  where the  $\phi_i$  are monomials  $F^n \rightarrow F$  and the  $w_i$  belong to  $V$ . Fix  $B$  in  $\mathcal{B}$  and  $\varepsilon > 0$ . Let  $f = P1_B$  be the orthogonal projection of  $1_B$  on  $\mathcal{H}_c(T)$ . Let  $\Omega$  be the orbit closure of  $f$  in the norm topology under  $T$ . Since  $f$  is compact,  $\Omega$  is a compact metric space. Applying Lemma 3.5 to the  $F$  actions  $x \mapsto T^{xw_i}$  and monomials  $\phi_i$  for  $1 \leq i \leq k$ , we see that

$$\{u \in F^n : \|f - T^{\phi(u)}f\| < \varepsilon/2\}$$

is  $IP_r^*$ . We have

$$\langle T^{\phi(u)}1_B, 1_B \rangle = \langle T^{\phi(u)}f, 1_B \rangle + \langle T^{\phi(u)}(1_B - f), 1_B \rangle$$

so the set

$$\{u \in F^n : \langle T^{\phi(u)}1_B, 1_B \rangle \geq \langle f, 1_B \rangle - \varepsilon/2 + \langle T^{\phi(u)}(1_B - f), 1_B \rangle\}$$

is  $IP_r^*$ . Since  $1_B - f$  is weakly mixing for  $(T, \phi)$  the set

$$\{u \in F^n : \langle T^{\phi(u)}1_B, 1_B \rangle \geq \langle f, 1_B \rangle - \varepsilon\}$$



is  $\text{AIP}_r^*$ . Thus (1.3) is  $\text{AIP}_r^*$  by

$$\langle f, 1_B \rangle = \langle P1_B, P1_B \rangle \langle 1, 1 \rangle \geq \langle P1_B, 1 \rangle^2 = \mu(B)^2$$

as desired. ■

We obtain as a corollary the following result from [MW14], which uses the following terminology. Let again  $G$  be an abelian group. An ultrafilter  $\mathfrak{p}$  on  $G$  is **essential** if it is idempotent and  $d^*(A) > 0$  for all  $A \in \mathfrak{p}$ . A **D set** in  $G$  is any subset of  $G$  that belongs to an essential ultrafilter on  $G$ , and a subset of  $G$  is  $D^*$  if its intersection with any  $D$  is non-empty.

**COROLLARY 3.7** ([MW14, Corollary 5]): *Let  $F$  be a countable field of finite characteristic and let  $p : F \rightarrow F^n$  be a polynomial mapping. For any action  $T$  of  $F^n$  on a probability space  $(X, \mathcal{B}, \mu)$ , any  $B$  in  $\mathcal{B}$  and any  $\varepsilon > 0$ , the set*

$$(3.8) \quad \{x \in F : \mu(B \cap T^{p(x)}B) \geq \mu(B)^2 - \varepsilon\}$$

is  $D^*$ .

*Proof.* It follows from the proof of Theorem 1.2 that (3.8) is of the form  $A \setminus B$  where  $A$  is  $\text{IP}_r^*$  for some  $r \in \mathbb{N}$  and  $B$  has zero upper Banach density. Any  $\text{IP}_r^*$  subset of  $G$  is  $\text{IP}^*$  and therefore belongs to every idempotent ultrafilter on  $G$ , so  $A$  certainly belongs to every essential ultrafilter on  $G$ . By the filter property, removing from  $A$  a set of zero upper Banach density does not change this fact, because every set in an essential idempotent has positive upper Banach density. ■

It has recently been shown [MZ14] that there are  $D^*$  subsets of  $\mathbb{Z}$  that are not  $\text{AIP}^*$ . This is also the case in countable fields of finite characteristic [McC14]. Thus our result constitutes a genuine strengthening of Corollary 3.7.

### 4. Multiplicative structure

According to Theorem 1.2 the set (1.3) is large in terms of the additive structure of  $F^n$ . In this section we connect the largeness of (1.3) when  $n = 1$  to the multiplicative structure of  $F$  by showing that (1.3) is almost an  $\text{MC}^*$  subset of  $F$ . Here  $\text{MC}$  stands for **multiplicatively central** and a set is  $\text{MC}^*$  if its intersection with every multiplicatively central set is non-empty.

To define what a multiplicatively central set is, recall that, given a commutative ring  $R$ , we can extend the multiplication on  $R$  to a binary operation  $*$  on the set  $\beta R$  of all ultrafilters on  $R$  by

$$\mathfrak{p} * \mathfrak{q} = \{A \subset R : \{u \in R : Au^{-1} \in \mathfrak{p}\} \in \mathfrak{q}\}$$

for all  $\mathfrak{p}, \mathfrak{q} \in \beta R$ . One can check that this makes  $\beta R$  a semigroup. It is also possible to equip  $\beta R$  with a compact, Hausdorff topology with respect to which the binary operation is right continuous. See [Ber03] or [HS12] for the details of these constructions. A subset  $A$  of  $R$  is then called **multiplicatively central** or **MC** if it belongs to an ultrafilter that is both idempotent and contained in a minimal right ideal of  $\beta R$ . The following version of [BH94, Theorem 3.5] relates  $IP_r$  sets in  $R$  to multiplicatively central sets.

**PROPOSITION 4.1:** *Let  $R$  be a commutative ring and let  $A \subset R$  be a multiplicatively central set. For every  $r \in \mathbb{N}$  one can find  $x_1, \dots, x_r$  in  $R$  such that  $FS(x_1, \dots, x_r) \subset A$ .*

*Proof.* Consider the family  $T$  of ultrafilters  $\mathfrak{p}$  on  $R$  having the property that every set in  $\mathfrak{p}$  contains an  $IP_r$  set for every  $r \in \mathbb{N}$ . We claim that  $T$  is a two-sided ideal in  $\beta R$ . Indeed fix  $\mathfrak{p} \in T$  and  $\mathfrak{q} \in \beta R$ . We need to prove that  $\mathfrak{p} * \mathfrak{q}$  and  $\mathfrak{q} * \mathfrak{p}$  belong to  $T$ .

For the former, fix  $B \in \mathfrak{p} * \mathfrak{q}$  and  $r \in \mathbb{N}$ . We can find  $u \in R$  such that  $Bu^{-1} \in \mathfrak{p}$  so  $Bu^{-1}$  contains  $FS(x_1, \dots, x_r)$  for some  $x_1, \dots, x_r$  in  $R$ . This immediately implies that  $FS(x_1u, \dots, x_ru) \subset B$  as desired.

For the latter, fix  $B \in \mathfrak{q} * \mathfrak{p}$  and  $r \in \mathbb{N}$ . We can find  $x_1, \dots, x_r$  in  $R$  such that  $FS(x_1, \dots, x_r) \subset \{u \in R : Bu^{-1} \in \mathfrak{q}\}$ . But by the filter property

$$(4.2) \quad \cap \{Bu^{-1} : u \in FS(x_1, \dots, x_r)\} \in \mathfrak{q}$$

and choosing  $a$  from this intersection gives  $FS(ax_1, \dots, ax_r) \subset B$ .

Our set  $A$  is multiplicatively central so it is contained in some idempotent ultrafilter  $\mathfrak{p}$  that belongs to a minimal right ideal  $S$ . Since  $T$  is also a right ideal  $S \subset T$  and  $\mathfrak{p} \in T$  as desired. ■

Note that it is not possible to prove this way that multiplicatively central sets contain  $IP$  sets, as that would require an infinite intersection in (4.2). In fact, as shown in [BH94, Theorem 3.6], there are multiplicatively central sets in  $\mathbb{N}$  that do not contain  $IP$  sets.

We say that a subset of  $R$  is  $MC^*$  if its intersection with every multiplicatively central set is non-empty. As noted in [Ber10], the preceding result implies that every  $IP_r^*$  set is  $MC^*$ . Call a set  $AMC^*$  (with A again standing for “almost”) if it is of the form  $A \setminus B$  where  $A$  is  $MC^*$  and  $B$  has zero upper Banach density in  $(R, +)$ . The following result is then an immediate consequence of Theorem 1.2.

**THEOREM 4.3:** *Let  $F$  be a countable field and let  $T$  be an action of the additive group of  $F$  on a probability space  $(X, \mathcal{B}, \mu)$ . For any polynomial  $\phi \in F[x]$ , any  $B \in \mathcal{B}$  and any  $\varepsilon > 0$ , the set*

$$(4.4) \quad \{u \in F : \mu(B \cap T^{\phi(u)}B) > \mu(B)^2 - \varepsilon\}$$

is  $AMC^*$ .

We conclude by mentioning that all  $AMC^*$  sets have positive upper Banach density in  $(F, +)$ . This follows from the fact that every  $MC^*$  set belongs to every minimal multiplicative idempotent, and a straightforward generalization of [BH90, Theorem 5.6], which guarantees the existence of a minimal idempotent for  $*$  all of whose members have positive upper Banach density in  $(F, +)$ .

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