

# Pointwise convergence of ergodic averages for polynomial sequences of translations on a nilmanifold

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## Abstract

We show that the orbit of a point on a compact nilmanifold  $X$  under the action of a polynomial sequence of translations on  $X$  is well distributed on the union of several sub-nilmanifolds of  $X$ . This implies that the ergodic averages of a continuous function on  $X$  along a polynomial sequence of translations on  $X$  converge pointwise.

## 1. Formulations

**1.1.** Let  $G$  be a nilpotent Lie group and  $X$  be a compact homogeneous space of  $G$ , that is,  $X = G/\Gamma$  where  $\Gamma$  is a closed uniform (=cocompact) subgroup of  $G$ ; we will call  $X$  a *nilmanifold*.  $G$  acts on  $X$  by left translations: for  $a \in G$  and  $x = b\Gamma \in X$  one defines  $ax = ab\Gamma$ .

**1.2.** Let  $x \in X$  and  $a \in G$ ; it is proved in [Le] that the orbit  $\{a^n x\}_{n \in \mathbb{Z}}$  of  $x$  under the action of  $a$  is uniformly distributed on a sub-nilmanifold of  $X$ . A much more general result of this sort was obtained in [R]: if  $X$  is a finite volume homogeneous space of a (not necessarily nilpotent) Lie group  $G$  and  $W$  is a connected subgroup of  $G$  generated by one-parameter subgroups whose  $\text{Ad}_G$ -actions are unipotent, then for any  $x \in X$  there exists a closed subgroup  $F \subseteq G$  such that  $Fx = \overline{W}x$  and  $Wx$  is uniformly distributed on  $Fx$ . In [Sh2] this theorem is extended to the case where  $W$  is not necessarily connected. In [Sh1] an analogous result was obtained for continuous polynomial trajectories  $\{P(u)x\}_{u \in \mathbb{R}^k}$ , with  $P$  being a polynomial mapping  $\mathbb{R}^k \rightarrow G$ . We consider here discrete polynomial trajectories  $\{g(n)x\}_{n \in \mathbb{Z}}$  on nilmanifolds only.

**1.3.** A sequence  $\{g(n)\}_{n \in \mathbb{Z}}$  in  $G$  of the form  $g(n) = a_1^{p_1(n)} \dots a_m^{p_m(n)}$ , where  $a_1, \dots, a_m \in G$  and  $p_1, \dots, p_m$  are polynomials taking on integer values on the integers, is called *polynomial*. Polynomial sequences in nilpotent groups arise very naturally, and many classical ergodic-theoretical results remain valid after replacing the sequence of powers  $T^n$  of a (unitary, continuous, measure preserving) transformation  $T$  by a polynomial sequence in a nilpotent group of transformations (see [L1], [L2], [BL]).

**1.4.** Our main goal is to establish the following fact:

**Theorem A.** *Let  $g$  be a polynomial sequence in  $G$ . For any  $x \in X$ ,  $f \in C(X)$  and Følner sequence  $\{\Phi_N\}_{N=1}^\infty$  in  $\mathbb{Z}$ ,  $\lim_{N \rightarrow \infty} \frac{1}{|\Phi_N|} \sum_{n \in \Phi_N} f(g(n)x)$  exists.*

**1.5.** We will denote by  $\mu$  the  $G$ -invariant probability measure on  $X$ . A sequence  $\{x_n\}_{n \in \mathbb{Z}}$  of points of  $X$  is said to be *well distributed* on  $X$  if for any open subset  $U$  of  $X$  the set  $\{n \in \mathbb{Z} : x_n \in U\}$  has density  $\mu(U)$  with respect to any Følner sequence in  $\mathbb{Z}$ . Equivalently,  $\{x_n\}_{n \in \mathbb{Z}}$  is well distributed on  $X$  if for any continuous function  $f$  on  $X$  and any Følner sequence  $\{\Phi_N\}_{N=1}^\infty$  in  $\mathbb{Z}$  one has  $\lim_{N \rightarrow \infty} \frac{1}{|\Phi_N|} \sum_{n \in \Phi_N} f(x_n) = \int_X f d\mu$ .

**1.6.** A closed subset  $Y$  of  $X$  of the form  $Y = Hx$ , where  $x \in X$  and  $H$  is a closed subgroup of  $G$ , will be called a *sub-nilmanifold* of  $X$ . We will show that the orbit of any point of  $X$  under the action of a polynomial sequence of translations on  $X$  is well distributed on the union of several sub-nilmanifolds of  $X$ :

**Theorem B.** *Let  $g$  be a polynomial sequence in  $G$  and let  $x \in X$ . There exist a connected closed subgroup  $H$  of  $G$  and points  $x_1, x_2, \dots, x_k \in X$ , not necessarily distinct, such that the sets  $Y_j = Hx_j$ ,  $j = 1, \dots, k$ , are closed sub-nilmanifolds of  $X$ ,  $\overline{\text{Orb}(x)} = \overline{\{g(n)x\}_{n \in \mathbb{Z}}} = \bigcup_{j=1}^k Y_j$ , the sequence  $g(n)x$ ,  $n \in \mathbb{Z}$ , cyclically visits the sets  $Y_1, \dots, Y_k$  and for each  $j = 1, \dots, k$  the sequence  $\{g(j+n_k)\}_{n \in \mathbb{Z}}$  is well distributed on  $Y_j$ .*

**1.7. Example.** The following simple example demonstrates that, unlike the linear case, in the polynomial case  $\overline{\text{Orb}(x)}$  need not be a sub-nilmanifold of  $X$ : for  $G = \mathbb{R}$ ,  $\Gamma = \mathbb{Z}$  and  $g(n) = \frac{n^2}{3} \in \mathbb{R}$  one has  $\text{Orb}(0) = \{0, \frac{1}{3}\} \subset \mathbb{R}/\mathbb{Z}$ .

**1.8.** Regarding Theorem B the following question remains open to us: if  $k \geq 2$ , are the nilmanifolds  $Y_1, \dots, Y_k$  isomorphic to each other?

**1.9.** If  $Y$  is a sub-nilmanifold of  $X$ ,  $Y = Hx$ , let  $\mu_Y$  denote the  $H$ -invariant probability measure on  $Y$ . Using this notation, we get the following corollary of Theorem B:

**Corollary.** *For any  $f \in C(X)$  and any Følner sequence  $\{\Phi_N\}_{N=1}^\infty$  in  $\mathbb{Z}$ ,  $\lim_{N \rightarrow \infty} \frac{1}{|\Phi_N|} \sum_{n \in \Phi_N} f(g(n)x) = \frac{1}{k} \sum_{j=1}^k \int_{Y_j} f d\mu_{Y_j}$ .*

In particular, Theorem A follows.

**1.10.** Assume that  $X$  is connected, and let  $G^\circ$  be the identity component of  $G$ . Then  $X$  is a homogeneous space of  $G^\circ$ ,  $X = G^\circ/(\Gamma \cap G^\circ)$ . Let  $T = [G^\circ, G^\circ] \backslash X = G^\circ/((\Gamma \cap G^\circ)[G^\circ, G^\circ])$ .  $T$  is a compact connected abelian Lie group, that is, a torus; we will refer to it as to *the maximal factor-torus* of  $X$ . Let  $p: X \rightarrow T$  be the factorization mapping. In this situation we obtain a simple criterion of “ergodicity” of a polynomial sequence of translations of  $X$  (cf. [P1] and [P2]):

**Theorem C.** *Assume that  $X$  is connected, let  $x \in X$  and let  $g$  be a polynomial sequence in  $G$ . The following are equivalent:*

- (i) *the sequence  $\{g(n)x\}_{n \in \mathbb{Z}}$  is dense in  $X$ ;*
- (ii)  *$\{g(n)x\}_{n \in \mathbb{Z}}$  is well distributed on  $X$ ;*
- (iii) *the sequence  $\{g(n)p(x)\}_{n \in \mathbb{Z}}$  is dense/well distributed on  $T$ .*

**1.11.** Let  $G$  be a nilpotent Lie group with a uniform subgroup  $\Gamma$  and let the discrete group  $G/G^\circ$  be finitely generated. Then one can show that  $G$  is a factor,  $\eta: \tilde{G} \rightarrow G$ , of a simply-connected nilpotent Lie group  $\tilde{G}$ . Let  $\tilde{\Gamma} = \eta^{-1}(\Gamma)$ . Further, there exists a connected simply-connected nilpotent Lie group  $\hat{G}$  with a uniform subgroup  $\hat{\Gamma}$  such that  $\tilde{G} \subseteq \hat{G}$  and  $\tilde{\Gamma} = \hat{\Gamma} \cap \tilde{G}$ . So,  $X = G/\Gamma$  is isomorphic to a sub-nilmanifold of  $\hat{X} = \hat{G}/\hat{\Gamma}$ , with all translations from  $G$  represented in  $\hat{G}$ . It follows that when proving Theorem B, one may restrict himself to the case of a connected simply-connected  $G$ . We will not utilize this fact.

**1.12.** We first prove analogs of Theorems B and C in the “linear” case, where  $g$  is not a polynomial sequence but a group homomorphism from a finitely generated amenable group. These results are a very special case of general theorems of Ratner and Shah ([R], [Sh2]), but using a method of Parry ([P1] and [P2]) we can obtain a simple and independent proof thereof. Then we exploit Furstenberg’s idea ([F], p. 31) to represent a “polynomial” orbit of a point on a nilmanifold as a projection of the “linear” orbit of a point on a “larger” nilmanifold.

## 2. Linear case

We suppose that  $G$  is a nilpotent Lie group,  $\Gamma$  is a closed uniform subgroup of  $G$  and  $X = G/\Gamma$  is a compact nilmanifold.

**2.1.** We will denote by  $G^\circ$  the identity component of  $G$ . If  $X$  is connected, then  $X = (G^\circ\Gamma)/\Gamma$  and  $G = G^\circ\Gamma$ . If  $X$  is disconnected then  $X^\circ = (G^\circ\Gamma)/\Gamma \simeq G^\circ/(\Gamma \cap G^\circ)$  is a connected component of  $X$  and, since  $X$  is compact,  $X$  is a disjoint union of finitely many translates of  $X^\circ$ :  $X = \bigcup_{j=1}^l b_j X^\circ$ ,  $b_1, \dots, b_l \in G$ . Thus,  $X$  is a homogeneous space of the group generated by  $G^\circ$  and  $b_1, \dots, b_l$ . When we study the action on  $X$  of a finitely generated subgroup  $A$  of  $G$ , we may replace  $G$  by the group generated by  $G^\circ$ ,  $b_1, \dots, b_l$  and the generators of  $A$ . Therefore, we may and will assume that the group  $G/G^\circ$  is finitely generated.

**2.2.** Let  $\pi$  be the factorization mapping  $G \rightarrow X = G/\Gamma$  and let  $x = \pi(\mathbf{1}_G) \in X$ . Let  $H$  be a closed subgroup of  $G$ . In general, the image of  $H$  in  $X$ ,  $\pi(H) = Hx = (H\Gamma)/\Gamma$ , need not be a submanifold of  $X$ .  $H$  acts on  $Hx$  with  $\text{Stab}(x) = \Gamma \cap H$ , so one has a continuous bijection  $\xi: H/(\Gamma \cap H) \rightarrow Hx$ . If  $\Gamma \cap H$  is uniform in  $H$  then  $H/(\Gamma \cap H)$  is compact, so  $\xi$  is a homeomorphism and  $Hx$  is a homogeneous space of  $H$ . On the other hand,  $H$  is locally compact and separable, so when  $Hx$  is locally compact  $\xi$  is a homeomorphism ([MZ] Theorem 2.13). Thus, if  $Hx$  is closed, that is, if  $H\Gamma$  is closed in  $G$ , then  $\xi$  is again a homeomorphism. It follows that the statements “ $Hx$  is a closed sub-nilmanifold of  $X$ ”, “ $H\Gamma$  is closed in  $G$ ” and “ $\Gamma \cap H$  is uniform in  $H$ ” are equivalent.

**2.3.** We will now list some properties of nilpotent Lie groups which we are going to use in the sequel. Most of this can be found in, or deduced from, [M].

**2.4.** Any connected nilpotent Lie group  $G$  is exponential, that is, the exponential mapping  $\mathfrak{G} \rightarrow G$  from the Lie algebra  $\mathfrak{G}$  of  $G$  is surjective. It follows that for any  $a \in G$  there exists a one-parameter subgroup  $\{\alpha(t)\}_{t \in \mathbb{R}}$  in  $G$  such that  $\alpha(1) = a$ . We will write  $a^t$  for  $\alpha(t)$ , assuming that  $\alpha$  is fixed for  $a$ .

**2.5.** Let  $G$  be a connected simply-connected nilpotent Lie group and  $\Gamma$  be a closed uniform subgroup of  $G$ . Then  $G$  possesses a *Malcev basis*, a finite set  $\{a_1, \dots, a_l\} \subseteq \Gamma$  such that any  $a \in G$  is uniquely representable in the form  $a = a_1^{t_1} \dots a_l^{t_l}$ ,  $t_1, \dots, t_l \in \mathbb{R}$ .

The correspondence  $a \mapsto (t_1, \dots, t_l)$  produces a homeomorphism  $G \rightarrow \mathbb{R}^l$ . Under this homeomorphism the multiplication in  $G$  is given by a polynomial mapping  $\mathbb{R}^l \times \mathbb{R}^l \rightarrow \mathbb{R}^l$ . It follows that any polynomial sequence  $g$  in  $G$  can be written in the basis  $\{a_1, \dots, a_l\}$ :  $g(n) = a_1^{p_1(n)} \dots a_l^{p_l(n)}$ ,  $p_1, \dots, p_l \in \mathbb{R}[n]$ .

**2.6.** Any connected nilpotent Lie group  $G$  is a factor group of a connected simply-connected nilpotent Lie group  $\tilde{G}$ . (One can take as  $\tilde{G}$  the universal cover of  $G$ .) Choose a Malcev basis in  $\tilde{G}$  and let  $\{a_1, \dots, a_l\}$  be the projection of this basis to  $G$ . Then any  $a \in G$  is representable (not necessarily uniquely) in the form  $a = a_1^{t_1} \dots a_l^{t_l}$ ,  $t_1, \dots, t_l \in \mathbb{R}$ .

If  $G$  is not connected, then the finitely generated group  $G/G^\circ$  also has a *basis*, that is, a subset  $\{e_1, \dots, e_m\} \subseteq G$  such that every element of  $G/G^\circ$  is representable in the form  $e_1^{n_1} \dots e_m^{n_m} G^\circ$ ,  $n_1, \dots, n_m \in \mathbb{Z}$ . Every element of  $G$  is then representable in the form  $a_1^{t_1} \dots a_l^{t_l} e_1^{n_1} \dots e_m^{n_m}$ ,  $t_1, \dots, t_l \in \mathbb{R}$ ,  $n_1, \dots, n_m \in \mathbb{Z}$ . In the coordinates  $(t_1, \dots, t_l, n_1, \dots, n_m)$  the multiplication in  $G$  is given by ordinary polynomials; it follows that any polynomial sequence in  $G$  can be written as  $g(n) = a_1^{p_1(n)} \dots a_l^{p_l(n)} e_1^{q_1(n)} \dots e_m^{q_m(n)}$ , where  $p_1, \dots, p_l$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{R}$  and  $q_1, \dots, q_m$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{Z}$ .

**2.7.** If  $\Gamma$  is a uniform subgroup of  $G$  then, in the notation of 2.6,  $a_1, \dots, a_l$  can be taken from  $\Gamma$ . If  $G = G^\circ\Gamma$  then  $e_1, \dots, e_m$  can also be chosen from  $\Gamma$ . Otherwise  $G^\circ\Gamma$  has finite index in  $G$  and so, there exists  $d \in \mathbb{N}$  such that  $b^d \in G^\circ\Gamma$  for any  $b \in G$ .

**Lemma.** *For any  $b \in G$  there exists  $c \in G^\circ$  such that  $(bc)^d \in \Gamma$ .*

**Proof.** Let  $G = G_1 \supset G_2 \supset \dots \supset G_r \supset G_{r+1} = \{\mathbf{1}_G\}$  be the lower central series of  $G$ , and let  $G_i^\circ$  be the identity component of  $G_i$ ,  $i = 1, \dots, r$ . Assume that  $b^d = c\gamma$  with  $c \in G_i^\circ$  and  $\gamma \in \Gamma$ . Then  $(bc^{-1/d})^d = c'\gamma$  with  $c' \in G_{i+1}^\circ$ . By the (descending) induction on  $i$ , we are done. ■

Now let  $\{e_1, \dots, e_m\} \subseteq G$  be a basis of  $G/G^\circ$ . After replacing each  $e_j$  by  $e_j c_j$  with an appropriate  $c_j \in G^\circ$  we will have  $e_j^d \in \Gamma$ ,  $j = 1, \dots, m$ .

**2.8.** Assume that  $\Gamma$  is not discrete and let  $\Gamma^\circ$  be the identity component of  $\Gamma$ . Then  $\Gamma^\circ$  is a normal subgroup of  $G$ . This fact is proved in [M] only in the case of connected  $G$ , but the argument works in the general case as well, and we will repeat it now. Let  $\mathfrak{G}$  be the Lie algebra of  $G$  and let  $\text{Ad}: G \rightarrow \text{Aut}(\mathfrak{G})$ ,  $a \mapsto \text{Ad}_a$ , be the adjoint representation of  $G$ . Let  $a \in G$ . Since  $\text{Ad}_a$  is unipotent, in a proper basis in  $\mathfrak{G}$  the matrix representing  $\text{Ad}_a$  is upper triangular with unit diagonal. It follows that (in any basis) the entries of the matrix representing  $\text{Ad}_{a^t}$ ,  $t \in \mathbb{R}$ , (or  $\text{Ad}_{a^n}$ ,  $n \in \mathbb{Z}$ , if  $a \notin G^\circ$ ) are polynomials in  $t$  (respectively, in  $n$ ). Let  $\mathfrak{L} \subseteq \mathfrak{G}$  be the tangent space of  $\Gamma^\circ$ . Then  $a^{-t}\Gamma^\circ a^t = \Gamma^\circ$  iff  $\text{Ad}_{a^t}(\mathfrak{L}) = \mathfrak{L}$ ; this is a linear condition on the entries of  $\text{Ad}_{a^t}$  and so, a polynomial condition  $P_a(t) = 0$  on  $t$ . Now, choose a basis  $a_1, \dots, a_l, e_1, \dots, e_m$  in  $G$  with  $a_1, \dots, a_l, e_1^d, \dots, e_m^d \in \Gamma$ . Then for each  $a_i$ ,  $P_{a_i}(t) = 0$  for all  $t \in \mathbb{Z}$ , hence,  $P_{a_i} \equiv 0$  and  $a^{-t}\Gamma^\circ a^t = \Gamma^\circ$  for all  $t \in \mathbb{R}$ . Similarly, for each  $e_j$ ,  $P_{e_j}(n) = 0$  for all  $n \in d\mathbb{Z}$ , so  $P_{e_j} \equiv 0$  and  $e^{-n}\Gamma^\circ e^n = \Gamma^\circ$  for all  $n \in \mathbb{Z}$ . Since  $a_1, \dots, a_l, e_1, \dots, e_m$  generate  $G$ ,  $\Gamma^\circ$  is normal in  $G$ .

After replacing  $G$  by  $G/\Gamma^\circ$  and  $\Gamma$  by  $\Gamma/\Gamma^\circ$  we arrive at the situation where  $\Gamma$  is discrete. We thus may and will assume that  $\Gamma$  is a *discrete* uniform subgroup of  $G$ .

**2.9.**  $[a, b]$  will stand for  $a^{-1}b^{-1}ab$ . We will denote by  $G_i$  the members of the lower central series of  $G$ ,  $G_1 = G$ ,  $G_2 = [G, G]$  and  $G_i = [G_{i-1}, G]$ ,  $i = 3, \dots, r$ , where  $r$  is the nilpotency class of  $G$ . For each  $i = 1, \dots, r$ , let  $G_i^\circ$  be the identity component of  $G_i$ . Note that  $(G^\circ)_i \subseteq G_i^\circ$  and that this inclusion may be strict: for  $G = \left\{ \begin{pmatrix} 1 & ny \\ 0 & 1+x \end{pmatrix} : n \in \mathbb{Z}, x, y \in \mathbb{R} \right\}$  one has  $G^\circ = \left\{ \begin{pmatrix} 1 & 0y \\ 0 & 1+x \end{pmatrix} : x, y \in \mathbb{R} \right\}$  and  $(G^\circ)_2 = \{\mathbf{1}_G\}$ , whereas  $G_2^\circ = G_2 = \left\{ \begin{pmatrix} 1 & 0y \\ 0 & 1 \end{pmatrix} : y \in \mathbb{R} \right\}$ .

**2.10.** Given  $S \subseteq G$ , by  $\langle S \rangle$  we will denote the subgroup of  $G$  generated by  $S$ . Let  $S \subseteq G$  be any set such that  $G = \langle G^\circ, S \rangle$ . Then  $G_i$  is generated by elements of the form  $b = [\dots, [b_1, b_2], b_3], \dots, b_i]$  with  $b_1, \dots, b_i \in G^\circ \cup S$ . If at least one of  $b_1, \dots, b_i$  belongs to  $G^\circ$ , then  $b \in G_i^\circ$ . If all  $b_1, \dots, b_i \in S$ , then  $b \in R_i := \langle S \rangle \cap G_i$ . Hence,

**Lemma.**  $G_i = \langle G_i^\circ, R_i \rangle$ .

**2.11.** For each  $i = 1, \dots, r$ ,  $G_i$  and  $G_i\Gamma$  are closed subgroups of  $G$  and  $(G_i\Gamma)/\Gamma$  is a closed submanifold of  $X$ . This fact is well known in the case where  $G$  is connected and simply-connected ([M]); here is the sketch of the proof in the general case.

Define  $\Gamma_i = \Gamma \cap G_i$ ,  $i = 1, \dots, r$ . Fix  $i$ . We have a continuous mapping  $G_i/\Gamma_i \rightarrow (G_i\Gamma)/\Gamma$ . If  $G_i/\Gamma_i$  is compact, then  $(G_i\Gamma)/\Gamma \simeq G_i/\Gamma_i$  is a closed submanifold of  $X$ , and so,  $G_i\Gamma$  is a closed subgroup of  $G$ . In this case  $G_i\Gamma$  is locally compact and since  $\Gamma$  is countable,  $G_i$  is closed in  $G_i\Gamma$  and therefore in  $G$ . Hence, we are done if we show that there exists a compact subset  $K_i$  in  $G_i$  such that  $G_i = K_i\Gamma_i$ . Following 2.6 and 2.7 above, choose a basis  $B = \{a_1, \dots, a_l, e_1, \dots, e_m\}$  in  $G$  with  $a_1, \dots, a_l, e_1^d, \dots, e_m^d \in \Gamma$ .  $G_i/G_{i+1}$  is an abelian group generated by finitely many continuous and/or discrete generators of the form  $b = [\dots, [b_1, b_2], b_3], \dots, b_i]$  with  $b_1, \dots, b_i \in B$ . For any such  $b$ ,  $b^{d^i} \in \Gamma_i G_{i+1}$ , thus  $G_i = K'_i \Gamma_i G_{i+1} = K'_i G_{i+1} \Gamma_i$ , where  $K'_i$  is the image of a ‘‘cube’’  $[0, d^i]^n \times \{0, \dots, d^i\}^k$  in  $G_i/G_{i+1}$ . By (the descending) induction on  $i$ ,  $G_{i+1} = K_{i+1} \Gamma_{i+1}$  with compact  $K_{i+1}$ , so  $G_i = K'_i K_{i+1} \Gamma_i$ .

**2.12.** We define  $X_i = G_i \backslash X = G/(G_i\Gamma)$ . Then  $X$  decomposes into a tower  $X = X_{r+1} \rightarrow X_r \rightarrow \dots \rightarrow X_2 \rightarrow X_1 = \{\cdot\}$  of compact nilmanifolds. In particular,  $X_2$  is a compact abelian Lie group, that is, a finite dimensional torus or a union of several tori. For each  $i$ , the fibers of the projection  $X_{i+1} \rightarrow X_i$  are isomorphic to the compact abelian Lie group  $G_i/(G_{i+1}\Gamma_i)$ .

**2.13. Example.** Let  $G = \left\{ \begin{pmatrix} 1 & ny \\ 0 & 1+x \end{pmatrix} : n \in \mathbb{Z}, x, y \in \mathbb{R} \right\}$  and  $\Gamma = \left\{ \begin{pmatrix} 1 & nk \\ 0 & 1+m \end{pmatrix} : n, m, k \in \mathbb{Z} \right\}$ . Then  $r = 2$ ,  $X$  is the 2-dimensional torus  $\{(x, y), x, y \in \mathbb{R}/\mathbb{Z}\}$ ,  $G_2 = \left\{ \begin{pmatrix} 1 & 0y \\ 0 & 1 \end{pmatrix} : y \in \mathbb{R} \right\}$  and  $X_2$  is the 1-dimensional torus  $\{(x), x \in \mathbb{R}/\mathbb{Z}\}$ .

**2.14. Theorem.** (Cf. [AGH], Ch.4, Theorem 3.) *The action of  $G$  on  $X$  is distal.*

**Proof.**  $X \rightarrow X_r \rightarrow \dots \rightarrow X_2$  is a tower of isometric extensions, which implies the result. In more detail, let  $x, y \in X$ ,  $x \neq y$ , and let  $i \leq r$  be such that the images of  $x$  and  $y$  in  $X_{i+1}$  are distinct whereas their images in  $X_i$  coincide. Let us factor  $G$  by  $G_{i+1}$  and replace  $X$  by  $X_{i+1}$ , then  $G_i$  is in the center of  $G$ . We have  $y = cx$  with  $c \in G_i$ . Let  $\text{dist}(\cdot, \cdot)$  be a distance on  $X$ . Since  $X$  is compact,  $\text{dist}(z, cz) > \delta > 0$  for all  $z \in X$ . So,  $\text{dist}(ax, ay) = \text{dist}(ax, acx) = \text{dist}(ax, cax) > \delta$  for all  $a \in G$ . ■

**2.15.** We now fix a finitely generated amenable group  $A$  and a homomorphism  $\varphi: A \rightarrow G$ .  $A$  acts on  $X$  by translations:  $(\varphi(u))(x) = \varphi(u)x$ ,  $u \in A$ ,  $x \in X$ .

**2.16.** Since the action of  $A$  on  $X$  is distal, we have:

**Corollary.** (See, for example, [F], Corollary on page 160.)  $X$  decomposes into the union of disjoint closed subsets,  $X = \bigcup Y_\theta$ , which are invariant and minimal with respect to the action of  $A$ , that is, for any  $\theta$  and any  $x \in Y_\theta$ ,  $\varphi(A)x = Y_\theta$ .

**2.17.** By  $\mu$  we denote the  $G$ -invariant probability measure on  $X$ .

**Theorem.** Let  $N = \langle G^\circ, \varphi(A) \rangle$ . The action of  $A$  is ergodic on  $X$  (with respect to  $\mu$ ) iff it is ergodic on  $Z = [N, N] \backslash X$ .

**Proof.** We may assume that  $G = N$ , then  $Z = X_2$ . We follow the line of the proof of Theorem 3 in [P2]. We use induction on  $r$ , the nilpotency class of  $G$ ; for  $r = 1$  the statement is trivial. Assume that the action of  $A$  is ergodic on  $X_2$  and assume that  $f \in L^2(X)$  is  $A$ -invariant,  $\varphi(u)f = f$  for any  $u \in A$ . The compact abelian group  $D = G_r/\Gamma_r$  acts on  $X$  and this action commutes with the action of  $G$ . Therefore  $L^2(X)$  decomposes into a direct sum of  $A$ -invariant eigenspaces of  $D$ . We may assume that  $f$  belongs to one of these eigenspaces, that is, that  $cf = \lambda(c)f$ ,  $\lambda(c) \in \mathbb{C}$ ,  $|\lambda(c)| = 1$ , for all  $c \in G_r$ . Also, we may assume that  $|f| \equiv 1$ .

We have  $c(af) = \lambda(c)(af)$  for any  $a \in G$  and  $c \in G_r$ , and  $\varphi(u)(bf) = \lambda([\varphi(u), b])(bf)$  for any  $b \in G_{r-1}$  and  $u \in A$ . Hence, for any  $b \in G_{r-1}$  the function  $(bf)f^{-1}$  factors through  $X_r = G_r \backslash X$  and is an eigenfunction for  $A$ . Let  $E$  be the group of eigenfunctions of  $A$  on  $X_r$  under multiplication, and let  $C$  be the subgroup of constants in  $E$ . By induction on  $r$ , the action of  $A$  is ergodic on  $X_r = G_r \backslash X$ . Hence, the eigenspaces of  $A$  in  $L^2(X_r)$  are one-dimensional, and so,  $E/C$  is discrete. We have a continuous mapping  $\lambda: G_{r-1} \rightarrow E$ ,  $b \mapsto (bf)f^{-1}$ . By the connectedness argument,  $\lambda(G_{r-1}) \subseteq C$ . Put  $\lambda(a) = 1$  for all  $a \in \varphi(A)$ . Since  $G = \langle G^\circ, \varphi(A) \rangle$ , Lemma 2.10 implies that  $G_{r-1} \subseteq \langle G_{r-1}^\circ, \varphi(A) \rangle$ , and hence  $\lambda(G_{r-1}) \subseteq C$ .

It follows that  $f$  is  $G_r$ -invariant. Indeed,  $G_r$  is generated by  $[G_{r-1}, G^\circ]$  and  $[G_{r-1}, \varphi(A)]$ . On  $[G_{r-1}, \varphi(A)]$ ,  $\lambda$  is identically 1. Extend  $\lambda$  to a mapping  $G \rightarrow \mathbb{C}$  by  $\lambda(a) = \int_X (af)f^{-1} d\mu$ ,  $a \in G$ . For any  $b \in G_{r-1}$  and  $a \in G^\circ$  we have  $\lambda(ab) = \int_X (abf)f^{-1} d\mu = \lambda(b) \int_X (af)f^{-1} d\mu = \lambda(b)\lambda(a)$  and  $\lambda(ba) = \int_X (baf)f^{-1} d\mu = \int_X (af)(b^{-1}f^{-1}) d\mu = \lambda(b) \int_X (af)f^{-1} d\mu = \lambda(b)\lambda(a) = \lambda(ab)$ . On the other hand,  $\lambda(ba) = \lambda(ab)\lambda([b, a])$ . Since  $\lambda$  is continuous, there exists a neighborhood  $V$  of  $\mathbf{1}_G \in G^\circ$  such that for any  $a \in V$  one has  $\lambda(a) \neq 0$  and so,  $\lambda([b, a]) = 1$ . Since  $G^\circ$  is exponential, for any  $d \in G^\circ$  there exist  $m \in \mathbb{N}$  and  $a \in V$  such that  $a^m = d$ , and so,  $\lambda([b, d]) = \lambda([b, a^m]) = \lambda([b, a]^m) = \lambda([b, a])^m = 1$ . We obtain that  $\lambda|_{G_r} \equiv 1$ . Hence,  $f$  factors through  $X_r$  and by induction on  $r$ ,  $f = \text{const}$ . ■

**2.18.** Assume that  $X$  is connected and consider  $T = [G^\circ, G^\circ] \backslash X$ , the maximal factor-torus of  $X$ . Since  $Z$  is a factor of  $T$ , we have

**Corollary.** If  $X$  is connected, then the action of  $A$  is ergodic on  $X$  iff it is ergodic on  $T$ .

**2.19. Theorem.** (Cf. [P1].) If the action of  $A$  is ergodic on  $X$  then the action of  $A$  is uniquely ergodic on  $X$ . Hence,  $\{\varphi_u x\}_{u \in A}$  is well distributed on  $X$  for any  $x \in X$ .

**Proof.** We argue as in [F], proof of Proposition 3.10. A point  $x \in X$  is said to be *generic* for  $\mu$  (with respect to  $\varphi$ ) if  $\{\varphi(u)x\}_{u \in A}$  is well distributed on  $X$  with respect to  $\mu$ , that is, for any  $f \in C(X)$  and any Følner sequence  $\{\Phi_N\}_{N=1}^\infty$ ,  $\lim_{N \rightarrow \infty} \frac{1}{|\Phi_N|} \sum_{u \in \Phi_N} f(\varphi(u)x) = \int_X f d\mu$ . Let  $P \subseteq X$  be the set of points generic for  $\mu$ ; since

the action of  $A$  is ergodic,  $\mu(P) = 1$ . Let  $\pi_r$  be the projection  $X \rightarrow X/G_r = X_r$  and let  $Q = \pi_r(P)$ . Since the elements of  $G_r$  commute with  $\varphi(A)$  and preserve  $\mu$ , if  $x \in X$  is generic for  $\mu$ , then  $cx$  is also generic for  $\mu$  for any  $c \in G_r$ . So,  $G_r P = P$  and so,  $P = \pi_r^{-1}(Q)$ .

Let  $\mu'$  be another measure on  $X$  ergodic with respect to the action of  $A$ . By induction on  $r$ , the action of  $A$  is uniquely ergodic on  $X_r$ , and so, the projections of  $\mu$  and  $\mu'$  onto  $X_r$  coincide. It follows that  $\mu'(P) = \mu'(Q) = \mu(Q) = 1$ . That is, almost all (with respect to  $\mu'$ ) points of  $X$  are not generic for  $\mu'$ . This contradicts the ergodicity of  $\mu'$ . ■

**2.20. Corollary.** (Cf. [P1].) *Let  $N = \langle G^\circ, \varphi(A) \rangle$  and  $Z = [N, N] \backslash X$ . The action of  $A$  is ergodic on  $X$  iff  $X$  is minimal with respect to the action of  $A$ , and iff  $Z$  is minimal with respect to the action of  $A$ .*

**Proof.** If  $X$  is minimal with respect to the action of  $A$ , then  $Z$  is also minimal. If  $Z$  is minimal, then, since  $Z$  is a compact abelian group, the action of  $A$  is ergodic on  $Z$ , and by Theorem 2.17 the action of  $A$  is ergodic on  $X$ .

Now assume that  $X$  is not minimal; then by Theorem 2.14 we have a nontrivial decomposition of  $X$  into closed  $A$ -invariant subsets. It follows that the action of  $A$  is not uniquely ergodic on  $X$  and by Theorem 2.19, is not ergodic. ■

**2.21. Theorem.** (Cf. [Sh2], Theorem 1.3.) *For any  $x \in X$  there exists a closed subgroup  $E \subseteq G$  such that  $\overline{\varphi(A)x} = Ex$ . Consequently,  $Y = \overline{\varphi(A)x}$  is a nilmanifold, and  $\{\varphi(u)x\}_{u \in A}$  is well distributed on  $Y$ .*

**Proof.** Let  $\pi: G \rightarrow X$  be the factorization mapping; we may assume that  $x = \pi(\mathbf{1}_G)$ . After passing to a subgroup of finite index in  $A$  we may assume that  $\varphi(A)$  preserves the connected component  $X^\circ$  of  $x$  in  $X$ . We may therefore assume that  $X$  is connected.

Let  $N = \langle G^\circ, \varphi(A) \rangle$ ,  $Z = [N, N] \backslash X$  and  $p: X \rightarrow Z$  be the factorization mapping. If  $\overline{\varphi(A)x} \neq X$ , then by Corollary 2.20,  $\varphi(A)0 = p(\varphi(A)x)$  is not dense in the torus  $Z$ . Hence it is contained in a proper closed subtorus  $Z' \subset Z$ . The projection  $p \circ \pi: G \rightarrow Z$  is a homomorphism, thus  $G' = (\pi_2 \circ \pi)^{-1}(Z')$  is a closed subgroup of  $G$  with  $\dim G' < \dim G$  and  $\varphi(A) \subseteq G'$ . Induction on  $\dim G$  proves the first statement.

We obtain that  $Y \simeq E/(\Gamma \cap E)$  is a nilmanifold; the last statement of the theorem now follows from Corollary 2.20 and Theorem 2.19. ■

**2.22. Remark.** The group  $E$  in Theorem 2.21 is not uniquely determined, and does not have to contain  $\varphi(A)$ . However, among the groups  $E$  satisfying the conclusion of the theorem there is a maximal one,  $E = \{a \in G : a(\overline{\varphi(A)x}) = \overline{\varphi(A)x}\}$ , and for this  $E$  one has  $\varphi(A) \subseteq E$ .

### 3. Reduction of the polynomial case to the linear case

We start with some group theoretical preliminaries.

**3.1.** Let  $\mathcal{F}$  be the free group generated by continuous generators  $a_1, \dots, a_l$  and discrete generators  $e_1, \dots, e_m$ , that is, the group of words in the alphabet  $\{a_1^{t_1}, \dots, a_l^{t_l}, e_1^{n_1}, \dots, e_m^{n_m}\}_{\substack{t_i \in \mathbb{R} \\ n_j \in \mathbb{Z}}}$ . Let  $\mathcal{F} = \mathcal{F}_1 \supset \mathcal{F}_2 \supset \dots$  be the lower central series of  $\mathcal{F}$ :  $\mathcal{F}_{i+1} = [\mathcal{F}_i, \mathcal{F}]$ ,  $i \in \mathbb{N}$ . Let  $r \in \mathbb{N}$ ; we will call the nilpotent Lie group  $F = \mathcal{F}/\mathcal{F}_{r+1}$  the free nilpotent Lie group (of class  $r$ , with  $l$  continuous and  $m$  discrete generators). The discrete subgroup of  $F$  generated by the set  $\{a_1, \dots, a_l, e_1, \dots, e_m\}$  is uniform in  $F$ ; we will denote it by  $\Gamma(F)$ .

**3.2. Proposition.** *Let  $G$  be a nilpotent Lie group of class  $\leq r$ , let  $G^\circ$  be the identity component of  $G$ , and let  $F$  be a free nilpotent Lie group of class  $r$  with continuous generators  $a_1, \dots, a_l$  and discrete generators  $e_1, \dots, e_m$ . Any mapping  $\eta: \{a_1, \dots, a_l, e_1, \dots, e_m\} \rightarrow G$  with  $\eta(\{a_1, \dots, a_l\}) \subseteq G^\circ$  extends to a homomorphism  $F \rightarrow G$ .*

**Proof.** The connected nilpotent Lie group  $G^\circ$  is exponential, and so, for any  $i = 1, \dots, l$  there exists a one-parameter subgroup  $\{\alpha_i(t)\}_{t \in \mathbb{R}}$  in  $G$  such that  $\eta(a_i) = \alpha_i(1)$ . Thus,  $\eta$  extends to a homomorphism  $\eta: \mathcal{F} \rightarrow G$  from the free group  $\mathcal{F}$  generated by  $\{a_1^{t_1}, \dots, a_l^{t_l}, e_1, \dots, e_m\}_{t_i \in \mathbb{R}}$  so that  $\eta(a_i^t) = \alpha_i(t)$ ,  $t \in \mathbb{R}$ ,  $i = 1, \dots, l$ . Since  $\eta(\mathcal{F}_{r+1}) \subseteq G_{r+1} = \{\mathbf{1}_G\}$ ,  $\eta$  factors to a homomorphism  $F \rightarrow G$ . ■

**3.3.** Let us say that a Lie group  $G$  is *finitely generated* if  $G$  is generated by a set of the form  $\{a_1^{t_1}, \dots, a_l^{t_l}, e_1, \dots, e_m\}_{t_i \in \mathbb{R}}$ . (If  $G^\circ$  is the identity component of  $G$ , then  $G$  is finitely generated iff the discrete group  $G/G^\circ$  is finitely generated in the conventional sense.)

**Proposition.** *Let  $G$  be a finitely generated nilpotent Lie group. Then  $G$  is a factor of a finitely generated free nilpotent Lie group.*

**Proof.** Let  $G$  have nilpotency class  $r$ ,  $a_1, \dots, a_l \in G^\circ$  be the continuous and  $e_1, \dots, e_m \in G$  the discrete generators of  $G$ . Let  $F$  be the free nilpotent Lie group of class  $r$  with continuous generators  $a_1, \dots, a_l$  and discrete generators  $e_1, \dots, e_m$ . By Proposition 3.2, there exists a homomorphism  $\eta: F \rightarrow G$  which is identical on  $a_1, \dots, a_l, e_1, \dots, e_m$ . Clearly,  $\eta$  is surjective. ■

**3.4. Lemma.** *Let  $G$  be a nilpotent group,  $G_2 = [G, G]$ , and let  $H$  be a subgroup of  $G$  such that  $HG_2 = G$ . Then  $H = G$ .*

**Proof.** Let  $G = G_1 \supset G_2 \supset \dots \supset G_r \supset G_{r+1} = \{\mathbf{1}_G\}$  be the lower central series of  $G$ . By induction on  $r$ ,  $HG_r = G$ , and it is only to be checked that  $G_r \subseteq H$ .  $G_r$  is generated by elements of the form  $[b, a]$  with  $a \in G$  and  $b \in G_{r-1}$ . Let  $c \in H$  be such that  $cG_2 = aG_2$  and  $d \in H \cap G_{r-1}$  be such that  $dG_r = bG_r$ . Then  $[d, c] \in H$  and  $[d, c] = [b, a]$ . ■

**3.5. Proposition.** *Let  $F$  be a free nilpotent Lie group, let  $F_2 = [F, F]$  and let a self-homomorphism  $\tau$  of  $F$  be such that the induced self-homomorphism of  $F/F_2$  is invertible. Then  $\tau$  is also invertible.*

**Proof.** Since  $\tau(F)F_2 = F$ ,  $\tau(F) = F$  by Lemma 3.4. It follows from Proposition 3.2 that there exists a homomorphism  $\sigma: F \rightarrow F$  such that  $\tau \circ \sigma = \text{Id}_F$ . Since  $\sigma$  induces an automorphism of  $F/F_2$ ,  $\sigma$  is also surjective. Hence,  $\sigma = \tau^{-1}$ . ■

**3.6. Remark.** Actually, Proposition 3.5 holds for any simply-connected finitely generated nilpotent Lie group; we do not need this in such generality.

**3.7.** We say that an automorphism  $\tau$  of a group  $G$  is *unipotent* if the mapping  $\xi: G \rightarrow G$  defined by  $\xi(a) = \tau(a)a^{-1}$ ,  $a \in G$ , satisfies  $\xi^{\circ q} \equiv \mathbf{1}_G$  for  $q \in \mathbb{N}$  large enough.

**3.8. Proposition.** *Let  $\tau$  be an automorphism of a nilpotent group  $G$  and let  $G_2 = [G, G]$ . Then  $\tau$  is unipotent iff the automorphism induced by  $\tau$  on  $G/G_2$  is unipotent.*

**Proof.** Let  $G = G_1 \supset G_2 \supset \dots \supset G_r \supset G_{r+1} = \{\mathbf{1}_G\}$  be the lower central series of  $G$ . By induction on the nilpotency class  $r$  of  $G$ , assume that  $\tau$  is unipotent on  $G/G_r$ , that is,  $\xi^{\circ q}(G) \subseteq G_r$  for  $q$  large enough. We only have to check that  $\tau$  is unipotent on  $G_r$ . Let  $A_i = \xi^{\circ i}(G)$ ,  $B_i = A_i \cap G_{r-1}$ ,  $i = 0, \dots, q-1$ , and let  $C_k = \langle [B_j, A_i], j+i \geq k \rangle$ ,  $k = 0, \dots, 2q-1$ . We claim that  $\xi(C_k) \subseteq C_{k+1}$ ,  $k = 0, \dots, 2q-2$ , and so,  $\xi^{\circ(2q-1)}(G_r) = \xi^{\circ(2q-1)}(C_0) \subseteq C_{2q-1} = \{\mathbf{1}_G\}$ . Indeed, the mapping  $G_{r-1} \times G \rightarrow G_r$ ,  $(b, a) \mapsto [b, a]$ , is bilinear; since  $\tau$  and  $\xi$  commute,  $\tau(A_i) = A_i$  for all  $i$ ; so, if  $b \in B_j$  and  $a \in A_i$ , then

$$\begin{aligned} \xi([b, a]) &= \tau([b, a]) \cdot [b, a]^{-1} = [\tau(b), \tau(a)] \cdot [b, \tau(a)]^{-1} \cdot [b, \tau(a)] \cdot [b, a]^{-1} \\ &= [\tau(b)b^{-1}, \tau(a)] \cdot [b, \tau(a)a^{-1}] = [\xi(b), \tau(a)] \cdot [b, \xi(a)] \\ &\in [B_{j+1}, \tau(A_i)] \cdot [B_j, A_{i+1}] = [B_{j+1}, A_i] \cdot [B_j, A_{i+1}] \subseteq C_{j+i+1}. \end{aligned}$$

■

**3.9. Proposition.** *Let  $G$  be a finitely generated nilpotent Lie group and let  $\tau$  be a unipotent automorphism of  $G$ . Then the extension  $\widehat{G}$  of  $G$  by  $\tau$  is a nilpotent Lie group.*

**Proof.**  $\widehat{G}$  is a solvable Lie group ( $G \triangleleft \widehat{G}$  and  $\widehat{G}/G \simeq \mathbb{Z}$ ); it therefore suffices to show that  $\widehat{G}$  is generated by Engel elements. (An element  $a$  of a group  $H$  is said to be *Engel* if for any  $b \in H$ ,  $[\dots [b, a], a], \dots] = \mathbf{1}_G$  if the number of brackets is large enough. Engel elements in a finitely generated solvable Lie group form a nilpotent subgroup.)  $\widehat{G}$  is generated by  $G$  and the element  $\hat{\tau}$  representing  $\tau$ ;  $\hat{\tau}$  is Engel since  $\tau$  is a unipotent automorphism of  $G$ , and each  $b \in G$  is Engel since  $G$  is nilpotent and normal in  $\widehat{G}$ . ■

**3.10.** Starting from this point, let, again,  $G$  be a nilpotent Lie group,  $G^\circ$  be the identity component of  $G$ ,  $\Gamma$  be a discrete uniform subgroup of  $G$  and  $X = G/\Gamma$ . Any polynomial sequence  $g(n) = a_1^{p_1(n)} \dots a_m^{p_m(n)}$  in  $G$  is contained in the group of  $G$  generated by the finite set  $\{a_1, \dots, a_m\}$ . Studying the action of  $g$  on  $X$  we may, therefore, assume that  $G$  is a finitely generated Lie group.

**3.11.** We now deduce from Theorem 2.21 the following fact:

**Theorem.** *Let  $\tau$  be a unipotent measure-preserving automorphism of  $G$  with  $\tau(\Gamma) = \Gamma$ ; then  $\tau$  acts on  $X$ . For any  $x \in X$  there exist a connected closed subgroup  $H$  of  $G$  and points  $x_1, x_2, \dots, x_k \in X$  such that  $Y_j = Hx_j$ ,  $j = 1, \dots, k$ , are closed sub-nilmanifolds of  $X$ , and for each  $j = 1, \dots, k$  the sequence  $\{\tau^{j+kn}x\}_{n \in \mathbb{Z}}$  is well distributed on  $Y_j$ .*

**Proof.** Let  $\widehat{G}$  be the extension of  $G$  by  $\tau$ ; by Proposition 3.9  $\widehat{G}$  is a nilpotent Lie group. Let  $\hat{\tau}$  be the element in  $\widehat{G}$  representing  $\tau$ , so that  $\tau(a) = \hat{\tau}a\hat{\tau}^{-1}$  for any  $a \in G$ . Let  $\widehat{\Gamma} = \langle \Gamma, \hat{\tau} \rangle \subseteq \widehat{G}$ . Since  $\tau(\Gamma) = \Gamma$  one has  $\widehat{\Gamma} \cap G = \Gamma$ , so  $\widehat{\Gamma}$  is a discrete subgroup of  $\widehat{G}$  and  $X = \widehat{G}/\widehat{\Gamma}$ . For any  $a \in G$  and  $x = a\widehat{\Gamma} \in X$  one has  $\tau(x) = \tau(a)\widehat{\Gamma} = \hat{\tau}a\hat{\tau}^{-1}\widehat{\Gamma} = \hat{\tau}a\widehat{\Gamma} = \hat{\tau}x$ . By Theorem 2.21, there exists a closed subgroup  $E$  of  $\widehat{G}$  such that  $Ex$  is closed and  $\{\tau^n x\}_{n \in \mathbb{Z}}$  is well distributed on  $Ex$ . Let  $H$  be the identity component of  $E$ ; since  $\widehat{G}/G$  is discrete,  $H \subseteq G$ .  $Hx$  is a connected component of  $Ex$ ; since  $Ex$  is compact, it consists of finitely many translates of  $Hx$  and so, the stabilizer  $\text{Stab}(Hx)$  of  $Hx$  has finite index in  $E$ . Let  $b_1, \dots, b_k \in E$  be a set of representatives of  $E/\text{Stab}(Hx)$  and let  $x_j = b_j x$ ,  $j = 1, \dots, k$ . Since  $H$  is normal in  $E$ ,  $b_j Hx = Hb_j x = Hx_j$ ,  $j = 1, \dots, k$ . Put  $Y_j = Hx_j$ ,  $j = 1, \dots, k$ , these are connected disjoint subnilmanifolds of  $X$  and we have  $Ex = \bigcup_{j=1}^k b_j Hx = \bigcup_{j=1}^k Y_j$ .

$\tau$  transitively acts on the set  $\{Y_1, \dots, Y_k\}$  and thus, cyclically permutes these sub-nilmanifolds. Reorder  $Y_1, \dots, Y_k$  so that  $\tau x \in Y_1$  and  $\tau(Y_j) = Y_{j+1}$ ,  $j = 1, \dots, k-1$ . Then  $\tau^{j+kn}x \in Y_j$  for all  $j$  and all  $n \in \mathbb{Z}$ . The sequence  $\{\tau^{j+kn}x\}_{n \in \mathbb{Z}} = \{(\tau^k)^n(\tau^j x)\}_{n \in \mathbb{Z}}$  is therefore well distributed on  $Y_j$  for each  $j$ . ■

**3.12.** The following simple example demonstrates that in Theorem 3.11,  $\{\overline{\tau^n(x)}\}_{n \in \mathbb{Z}}$  need not be of the form  $Hx$  where  $H$  is a subgroup of  $G$ .

**Example.** Let  $G = X = (\mathbb{Z}_3)^3$  and a unipotent automorphism  $\tau$  of  $X$  be defined by  $\tau(a, b, c) = (a, b+a, c+b)$ , then  $\tau^3 = \text{Id}_X$ . Take  $x = (1, 0, 0) \in X$ . Then  $\tau(x) = (1, 1, 0)$ ,  $\tau^2(x) = (1, 2, 1)$ , and  $\{\tau^n(x)\}_{n \in \mathbb{Z}} = \{(1, 0, 0), (1, 1, 0), (1, 2, 1)\}$  is not a coset of a subgroup of  $X$ .

**3.13.** Following 2.6 and 2.7, choose a basis  $\{a_1, \dots, a_l, e_1, \dots, e_m\}$  in  $G$ , where  $a_1, \dots, a_l \in \Gamma \cap G^o$  and  $e_1^d, \dots, e_m^d \in \Gamma$  for some  $d \in \mathbb{N}$ , such that every element  $a$  of  $G$  can be written in the form  $a = a_1^{t_1} \dots a_l^{t_l} e_1^{n_1} \dots e_m^{n_m}$  with  $t_1, \dots, t_l \in \mathbb{R}$  and  $n_1, \dots, n_m \in \mathbb{Z}$ . Any polynomial sequence  $g$  in  $G$  is then representable in the form  $g(n) = a_1^{p_1(n)} \dots a_l^{p_l(n)} e_1^{q_1(n)} \dots e_m^{q_m(n)}$ , where  $p_1, \dots, p_l$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{R}$  and  $q_1, \dots, q_m$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{Z}$ .

Let  $D = \langle a_1, \dots, a_l, e_1, \dots, e_m \rangle$ . In a finitely generated nilpotent group any subgroup generated by nontrivial powers of the generators has finite index, so  $\Gamma \cap D$  has finite index in  $D$ . Thus, there exists  $s \in \mathbb{N}$  such that  $b^s \in \Gamma$  for any  $b \in D$ .

**3.14. Proposition.** *Let  $g$  be a polynomial sequence in  $G$ . There exists a nilpotent Lie group  $\widetilde{G}$  with a discrete uniform subgroup  $\widetilde{\Gamma}$ , an epimorphism  $\eta: \widetilde{G} \rightarrow G$  with  $\eta(\widetilde{\Gamma}) \subseteq \Gamma$ , a unipotent automorphism  $\tau$  of  $\widetilde{G}$  with  $\tau(\widetilde{\Gamma}) = \widetilde{\Gamma}$ , and an element  $c \in \widetilde{G}$  such that  $g(n) = \eta(\tau^n(c))$ ,  $n \in \mathbb{Z}$ .*

**Proof.** Let  $\{a_1, \dots, a_l, e_1, \dots, e_m\}$  be a basis of  $G$  described in 3.13 and let  $s \in \mathbb{N}$  be such that  $b^s \in \Gamma$  for any  $b$  from the (discrete) group generated by  $\{a_1, \dots, a_l, e_1, \dots, e_m\}$ . Let  $F$  be a free nilpotent Lie group with continuous generators  $a_1, \dots, a_l$  and discrete generators  $e_1, \dots, e_m$ , and let  $\eta': F \rightarrow G$  be the natural epimorphism. Then  $\eta'(b^s) \in \Gamma$  for any  $b \in \Gamma(F)$ .

Let  $g(n) = a_1^{p_1(n)} \dots a_l^{p_l(n)} e_1^{q_1(n)} \dots e_m^{q_m(n)}$ , where  $p_1, \dots, p_l$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{R}$  and  $q_1, \dots, q_m$  are polynomials  $\mathbb{Z} \rightarrow \mathbb{Z}$ . Let  $\widetilde{G}$  be the free nilpotent Lie group with continuous generators  $\{b_{i,0} = a_i, b_{i,1}, \dots, b_{i, \deg p_i}\}_{i=1, \dots, l}$  and discrete generators  $\{d_{j,0} = e_j, d_{j,1}, \dots, d_{j, \deg q_j}\}_{j=1, \dots, m}$ . Let  $B$  be the normal closure in  $\widetilde{G}$  of the group generated by  $\{b_{i,1}^t, \dots, b_{i, \deg p_i}^t\}_{i=1, \dots, l}$  and  $\{d_{j,1}, \dots, d_{j, \deg q_j}\}_{j=1, \dots, m}$ ; then  $F \simeq \widetilde{G}/B$ . Let  $\eta'': \widetilde{G} \rightarrow F$  be the factorization mapping and let  $\eta = \eta' \circ \eta'$ .

Let  $\widetilde{\Gamma}$  be the subgroup of  $\Gamma(\widetilde{G})$  generated by the  $s$ -th powers of the elements of  $\widetilde{G}$ ,  $\widetilde{\Gamma} = \langle \{\gamma^s, \gamma \in \Gamma(\widetilde{G})\} \rangle$ . Then  $\widetilde{\Gamma}$  has finite index in  $\Gamma(\widetilde{G})$  and so, is uniform in  $\widetilde{G}$ . One has  $\eta(\widetilde{\Gamma}) \subseteq \Gamma$  and  $\tau(\widetilde{\Gamma}) = \widetilde{\Gamma}$  for any automorphism  $\tau$  of  $\Gamma(\widetilde{G})$ .

We define  $\tau: \widetilde{G} \rightarrow \widetilde{G}$  by  $\tau(a_i) = a_i$  ( $i = 1, \dots, l$ ),  $\tau(b_{i,k}) = b_{i,k} b_{i,k-1}$  ( $k = 1, \dots, \deg p_i$ ,  $i = 1, \dots, l$ ),



$\tau(e_j) = e_j$  ( $j = 1, \dots, m$ ),  $\tau(d_{j,k}) = d_{j,k}d_{j,k-1}$  ( $k = 1, \dots, \deg q_j, j = 1, \dots, m$ ). So defined,  $\tau$  induces a unipotent automorphism of  $\tilde{G}/\tilde{G}_2$ . By Propositions 3.2, 3.5 and 3.8,  $\tau$  is a unipotent automorphism of  $\tilde{G}$ .

For  $i \in \{1, \dots, l\}$  let  $p_i(n) = \alpha_0 + \alpha_1 \binom{n}{1} + \alpha_2 \binom{n}{2} + \dots + \alpha_k \binom{n}{k}$ ,  $\alpha_0, \dots, \alpha_k \in \mathbb{R}$ . Define  $u_i = a_i^{\alpha_0} b_{i,1}^{\alpha_1} \dots b_{i,k}^{\alpha_k}$ , then  $\tau^n(u_i) = a_i^{\alpha_0 + \alpha_1 \binom{n}{1} + \alpha_2 \binom{n}{2} + \dots + \alpha_k \binom{n}{k}} h(n) = a_i^{p_i(n)} h(n)$ , where  $h(n) \in B$ ,  $n \in \mathbb{Z}$ . Similarly, if for  $j \in \{1, \dots, m\}$ ,  $q_j(n) = \beta_0 + \beta_1 \binom{n}{1} + \beta_2 \binom{n}{2} + \dots + \beta_k \binom{n}{k}$ ,  $\beta_0, \dots, \beta_k \in \mathbb{Z}$ , define  $v_j = e_j^{\beta_0} d_{j,1}^{\beta_1} \dots d_{j,k}^{\beta_k}$ ; then  $\tau^n(v_j) = e_j^{q_j(n)} h'(n)$  with  $h'(n) \in B$ ,  $n \in \mathbb{Z}$ . Put  $c = u_1 \dots u_l v_1 \dots v_m$ , then  $\eta(\tau^n(c)) = g(n)$ ,  $n \in \mathbb{Z}$ . ■

**3.15. Proof of Theorem B.** Let  $\pi: G \rightarrow X$  be the factorization mapping. Let us assume that  $x = \pi(\mathbf{1}_G)$ ; otherwise, if  $x = \pi(a)$  for  $a \in G$ , we write  $g(n)x = g(n)a\pi(\mathbf{1}_G)$  and replace  $g(n)$  by  $g(n)a$ . Find  $\tilde{G}$ ,  $\tilde{\Gamma}$  and  $c$  as in Proposition 3.14 and let  $\tilde{X} = \tilde{G}/\tilde{\Gamma}$ . The epimorphism  $\eta: \tilde{G} \rightarrow G$  factors to  $\eta: \tilde{X} \rightarrow X$ , so that if  $\tilde{\pi}: \tilde{G} \rightarrow \tilde{X}$  is the factorization mapping, then  $\pi \circ \eta = \eta \circ \tilde{\pi}$ . Let  $\tilde{x} = \tilde{\pi}(\mathbf{1}_{\tilde{G}})$ , then  $\eta(\tau^n(c\tilde{x})) = g(n)x$ ,  $n \in \mathbb{Z}$ . By Theorem 3.11, there exist a connected closed subgroup  $\tilde{H}$  of  $\tilde{G}$  and points  $\tilde{x}_1, \tilde{x}_2, \dots, \tilde{x}_k \in \tilde{X}$  such that, for each  $j = 1, \dots, k$ ,  $\{\tau^{j+kn}(c\tilde{x})\}_{n \in \mathbb{Z}}$  is well distributed on  $\tilde{H}\tilde{x}_j$ . Let  $H = \eta(\tilde{H})$  and  $x_j = \eta(\tilde{x}_j)$ ,  $j = 1, \dots, k$ . Since, for each  $j = 1, \dots, k$ ,  $\tilde{H}\tilde{x}_j$  is compact,  $Y_j = Hx_j = \eta(\tilde{H}\tilde{x}_j)$  is a connected sub-nilmanifold of  $X$ , and the  $H$ -invariant measure on  $Y_j$  is the  $\eta$ -image of the  $\tilde{H}$ -invariant measure on  $\tilde{H}\tilde{x}_j$ . Hence, for each  $j = 1, \dots, k$ ,  $\{\eta(\tau^{j+kn}(c\tilde{x}))\}_{n \in \mathbb{Z}} = \{g(j+kn)x\}_{n \in \mathbb{Z}}$  is well distributed on  $Y_j$ . ■

**3.16. Proof of Theorem C.** Let, in accordance with Theorem B, a connected closed subgroup  $H$  of  $G$  and points  $x_1, \dots, x_k \in X$  be such that  $\overline{\{g(n)x\}_{n \in \mathbb{Z}}} = \bigcup_{j=1}^k Hx_j$ . If (i) holds, then  $\overline{\{g(n)x\}_{n \in \mathbb{Z}}} = X$  and since  $X$  is connected,  $Hx_1 = \dots = Hx_k = X$ . So,  $Hx = X$  and  $\{g(n)x\}_{n \in \mathbb{Z}}$  is well distributed on  $X$ . Hence (i) implies (ii).

Let  $T = [G^\circ, G^\circ] \backslash X$  and  $p: X \rightarrow T$  be the factorization mapping. Assume that the sequence  $\{g(n)p(x)\}_{n \in \mathbb{Z}}$  is dense in  $T$ . Then  $T = \bigcup_{j=1}^k Hp(x_j)$ , and since  $T$  is connected,  $Hp(x_j) = T$  for some  $j$ . Hence,  $H[G^\circ, G^\circ](\Gamma \cap G^\circ) = G^\circ$ , and since  $\Gamma$  is countable,  $H[G^\circ, G^\circ] = G^\circ$ . By Lemma 3.4,  $H = G^\circ$ , so  $\overline{\{g(n)x\}_{n \in \mathbb{Z}}} = Hx_1 = X$ , and (iii) implies (i). ■

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