

The Segal–Bargmann Transform on a Symmetric Space of Compact Type

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Communicated by L. Gross

Received July 14, 1998; revised December 16, 1998; accepted January 5, 1999

We study the Segal–Bargmann transform on a symmetric space X of compact type, mapping $L^2(X)$ into holomorphic functions on the complexification $X_{\mathbf{C}}$. We invert this transform by integrating against a “dual” heat kernel measure in the fibers of a natural fibration of $X_{\mathbf{C}}$ over X . We prove that the Segal–Bargmann transform is an isometry from $L^2(X)$ onto the space of holomorphic functions on $X_{\mathbf{C}}$ which are square integrable with respect to a natural measure. These results extend those of B. Hall in the compact group case. © 1999 Academic Press

1. INTRODUCTION

It is well known that a solution of the heat equation on \mathbf{R}^n can be analytically continued to an entire function on \mathbf{C}^n . The classical Segal–Bargmann transform, in the form we will be interested in, is the map

$$f \in L^2(\mathbf{R}^n, dx) \rightarrow e^{-t\Delta} f \in \mathcal{O}(\mathbf{C}^n) \cap L^2(\mathbf{C}^n, (2\pi t)^{-n/2} e^{-|\operatorname{Im} z|^2/2t} dz),$$

where $\mathcal{O}(\mathbf{C}^n)$ denotes the holomorphic functions on \mathbf{C}^n . This is an isometric isomorphism of Hilbert spaces, identifying $L^2(\mathbf{R}^n)$ with a natural L^2 space of entire functions on \mathbf{C}^n . B. Hall has obtained an interesting generalization of the Segal–Bargmann transform by replacing \mathbf{R}^n with a compact Lie group H equipped with a bi-invariant metric [5]. Hall proved that solutions of the heat equations on H can be analytically continued to entire functions on the complexified Lie group $H_{\mathbf{C}}$, and that the Segal–Bargmann transform

$$f \in L^2(H, dh) \rightarrow e^{-t\Delta} f \in \mathcal{O}(H_{\mathbf{C}}) \cap L^2(H_{\mathbf{C}}, dh \sigma_{i/2}(p) dp)$$

is an isometric isomorphism (we consider the “ K -averaged” version of the transform). Here $P = \exp \sqrt{-1} \mathfrak{h}$, $H_{\mathbb{C}}$ is identified with $H \times P$, dh is Riemannian measure, and $\sigma_t(p) dp$ is the heat kernel measure for the heat operator $\partial_t + \Delta_P$, $\Delta_P \geq 0$, on P identified with the symmetric space $H_{\mathbb{C}}/H$ by the quotient map. In a subsequent paper [6], Hall proved the following “fiberwise” inversion formula for the Segal–Bargmann transform: for $f \in C^\infty(H)$,

$$f(h) = \int_P e^{-t\Delta} f(hp^2) \sigma_{t/4}(p) dp, \tag{1}$$

and used this to give an elegant proof of its isometricity (our conventions differ slightly from Hall’s; see Section 5).

Independently, Golse, Leichtnam, and the author studied a Segal–Bargmann type transform called the Fourier–Bros–Iagolnitzer (F.B.I.) transform [3]. This transform can be defined on any compact real analytic Riemannian manifold (X, g) . We showed that solutions of the heat equation can be analytically continued to a small tube $X_{\mathbb{C}}^R$ about X in its complexification. The radius R of this tube, measured by an exhaustion function canonically determined by g , does not depend on the initial heat data. The F.B.I. transform is the map

$$f \in C^\infty(X) \rightarrow e^{-t\Delta} f \in \mathcal{O}(X_{\mathbb{C}}^R).$$

The F.B.I. transform is injective, and we proved an inversion formula for it similar to Hall’s. Our inversion formula involves integrating over the fibers $Y_R(x)$, $x \in X$, of the fibration of $X_{\mathbb{C}}^R$ over X obtained by identifying $X_{\mathbb{C}}^R$ with a neighborhood of the zero section in TX (see [4, 9]). We constructed a “pseudo-heat kernel” form $K(\cdot, t) \mu^{Y_R}$ in each fiber $Y_R(x)$ for R sufficiently small and gave the following integral prescription for recovering $f \in C^\infty(X)$ from its F.B.I. transform:

$$\begin{aligned} f(x) = & \int_{Y_R(x)} e^{-t\Delta} f(\cdot) K(\cdot, t) \mu^{Y_R} \\ & + \int_0^t \int_{\partial Y_R(x)} [e^{-s\Delta} f(\cdot) i_{\text{grad}^{Y_R} K(\cdot, s)} \mu^{Y_R} - K(\cdot, s) i_{\text{grad}^{Y_R} e^{-s\Delta} f(\cdot)} \mu^{Y_R}] ds. \end{aligned} \tag{2}$$

See [3, Theorem 0.3] for details. Our purpose here is to generalize Hall’s inversion formula for the Segal–Bargmann transform by assuming that a complexification of X admits an unbounded canonical exhaustion function and letting $R \rightarrow \infty$ in (2). This assumption imposes severe restrictions on

the geometry of (X, g) ; for example, the sectional curvatures must be non-negative [9]. The list of known examples whose complexifications admit an unbounded canonical exhaustion is quite short: locally symmetric spaces of nonnegative curvature, normal homogeneous spaces of compact Lie groups and their discrete quotients, and certain surfaces of revolution (see [11, 13, 12], respectively). (Added in proof. Recently R. Aguilar has found many more examples.)

We will assume that X is a connected symmetric space U/K of Helgason's compact type. For simplicity we will assume that U/K is simply connected; the main results of this paper hold after passing to quotients by a freely acting finite group of isometries. It follows from the results of Hall [5, Sect. 11] that solutions of the heat equation on X can be analytically continued to entire functions on $X_{\mathbb{C}}$. We study the Segal–Bargmann transform in this setting. Under these assumptions each (maximal) fiber $Y(x)$ can be identified with a dual symmetric space of the noncompact type. To prove our inversion formula we will use the corresponding fiber heat kernel measure in (2) and let $R \rightarrow \infty$. Let $K_{g_Y}(\cdot, t)$ denote the heat kernel in the fiber $Y(x)$ evaluated at the base point $x \in X$, let v_{g_Y} be the Riemannian measure on $Y(x)$, and let $Y_R(x)$ be the distance ball of radius R in $Y(x)$. Use the Riemannian measure on X to form $L^2(X)$. Our main results are the following generalizations of Hall's fiberwise inversion formulae for compact Lie groups to the symmetric spaces of compact type.

THEOREM 1. *For all $f \in L^2(X)$, $t > 0$,*

$$f(x) = L^2 - \lim_{R \rightarrow \infty} \int_{Y_R(x)} e^{-t\Delta} f(\cdot) K_{g_Y}(\cdot, t) v_{g_Y}.$$

THEOREM 2. *For all $f \in C^\infty(X)$, $t > 0$, $x \in X$,*

$$f(x) = \int_{Y(x)} e^{-t\Delta} f(\cdot) K_{g_Y}(\cdot, t) v_{g_Y}$$

and the integral is absolutely convergent.

In Section 4 we consider the measure ω_t on $X_{\mathbb{C}}$ induced by the Riemannian measure on X and the heat kernel measure in the fibers at time $2t$, rescaled by a factor of 2 in the fibers. Let \mathcal{H}_t denote the Hilbert space of holomorphic functions in $L^2(X_{\mathbb{C}}, \omega_t)$.

THEOREM 3. *The Segal–Bargmann transform is an isometric isomorphism from $L^2(X)$ onto \mathcal{H}_t .*

The organisation of this paper is as follows. In Section 2 we provide some background material and prove Theorem 2 for joint eigenfunctions of

the U -invariant differential operators. Our strategy is to prove an analog of (2) for any $R > 0$, then estimate the growth of the joint eigenfunctions in the complex domain and pass to the limit as $R \rightarrow \infty$. Section 3 contains the proofs of Theorems 1 and 2, and in Section 4 we define the measure ω_t and prove Theorem 3. In Section 5 we show that our inversion formula reduces to (1) when H is a compact, connected semisimple Lie group identified with a symmetric space of compact type.

2. INVERSION OF THE SEGAL-BARGMANN TRANSFORM ON JOINT EIGENFUNCTIONS

We assume that $X = U/K$ is a simply connected Riemannian (globally) symmetric space of Helgason's compact type. The Riemannian symmetric pair (U, K) is associated with an orthogonal symmetric Lie algebra (\mathfrak{u}, θ) of compact type (see [7, pp. 230, 244]). In particular \mathfrak{u} is semisimple and U, K are compact. By the proof of [7, Proposition 4.2, Chap. V] we may assume that U is simply connected and K is connected. The Riemannian symmetric metric g determines an $\text{Ad}(K)$ -invariant inner product on \mathfrak{p}_* , where \mathfrak{p}_* is the -1 eigenspace of θ in the eigenspace decomposition $\mathfrak{u} = \mathfrak{k} + \mathfrak{p}_*$. We assume that U acts effectively on U/K ; then \mathfrak{k} contains no nonzero ideal of \mathfrak{u} . Using [7, Proof of Proposition 5.2, Chap. VIII] and the remarks preceding Proposition 5.1, we may assume that the inner product on \mathfrak{p}_* is the restriction of an $\text{Ad}(U)$ -invariant inner product on \mathfrak{u} (we thank the referee for pointing this out). We will normalize the metric so that the total volume is one.

Let $U_{\mathbb{C}}$ be the Lie group complexification of U and let $K_{\mathbb{C}}$ be the connected subgroup of $U_{\mathbb{C}}$ with Lie algebra $\mathfrak{k}_{\mathbb{C}}$. Then $K_{\mathbb{C}}$ is a closed subgroup and the natural map, $gK \rightarrow gK_{\mathbb{C}}$, embeds $X = U/K$ as a closed, totally real submanifold of $X_{\mathbb{C}} = U_{\mathbb{C}}/K_{\mathbb{C}}$ [3, Proposition 8.1]. We will identify U/K with its image in $U_{\mathbb{C}}/K_{\mathbb{C}}$. Let Δ be the (nonnegative) Laplacian on $(U/K, g)$. By [5, Lemma 13] solutions of the heat equation $(\partial_t + \Delta)u = 0$ can be analytically continued to entire functions on $U_{\mathbb{C}}/K_{\mathbb{C}}$.

A representation δ of U on a vector space V is said to be spherical if there is a nonzero vector in V fixed by $\delta(K)$. Let \hat{U}_K denote the set of equivalence classes of irreducible unitary finite dimensional spherical representations of U . For each $\delta \in \hat{U}_K$ let V_{δ} be a representation space for δ with inner product \langle, \rangle . Since U/K is a symmetric space, the subspace of K -fixed vectors is spanned by a single unit vector \mathbf{e} . There is an orthogonal Hilbert space decomposition of $L^2(U/K)$ into irreducible subspaces under the U action,

$$L^2(U/K) = \bigoplus_{\delta \in \hat{U}_K} C_{\delta}(U/K), \quad (3)$$

where the $C_\delta(U/K)$ are equivalent irreducible representations of type δ . Explicitly, $C_\delta(U/K)$ consists of the functions $uK \rightarrow \langle \mathbf{v}, \delta(u) \mathbf{e} \rangle$, $\mathbf{v} \in V_\delta$. $C_\delta(U/K)$ is a joint eigenspace for the U -invariant differential operators $\mathbf{D}(U/K)$, i.e., for each $\delta \in \hat{U}_K$, there is a homomorphism $c_\delta: \mathbf{D}(U/K) \rightarrow \mathbb{C}$ such that for all $f \in C_\delta(U/K)$, $Df = c_\delta(D)f$. See [8, Theorem 4.3, Chap. V]. Since U acts on U/K by isometries, Δ is a U -invariant differential operator and the elements of $C_\delta(U/K)$ are eigenfunctions for Δ . Since solutions of the heat equation can be analytically continued to $U_{\mathbb{C}}/K_{\mathbb{C}}$, it follows that each $f \in C_\delta(U/K)$ can be analytically continued to $U_{\mathbb{C}}/K_{\mathbb{C}}$ (see also the proof of Lemma 1).

We consider the fibration $\pi: U_{\mathbb{C}}/K_{\mathbb{C}} \rightarrow U/K$ obtained by identifying $U_{\mathbb{C}}/K_{\mathbb{C}}$ with TU/K as in [11, 12]. This identification is given explicitly by the map

$$TU/K \ni d\tau(u) dp_e(V) \rightarrow u \exp \sqrt{-1} VK_{\mathbb{C}} \in U_{\mathbb{C}}/K_{\mathbb{C}}, \quad (4)$$

where $V \in \mathfrak{p}_*$, τ is the action of U on U/K , and $p: U \rightarrow U/K$ is the coset projection (see [3, Proposition 8.2 and Remark 8.4]). We will denote by Y , or $Y(x)$ if necessary, the fiber $\pi^{-1}(x)$ in $U_{\mathbb{C}}/K_{\mathbb{C}}$ over $x \in U/K$. An essential ingredient in our inversion formula for the Segal–Bargmann transform is a symmetric space metric on each fiber Y , which we now define.

Let G be the connected subgroup of $U_{\mathbb{C}}$ with Lie algebra $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ where $\mathfrak{p} = \sqrt{-1} \mathfrak{p}_*$. Then K (which we may assume is connected) is a closed subgroup of G . The metric g on U/K determines in an obvious way a positive definite $\text{Ad}(K)$ -invariant inner product on \mathfrak{p} . The homogeneous manifold G/K with the corresponding G -invariant metric is a symmetric space of the non-compact type dual to U/K . The map (4) and the fact that G/K is diffeomorphic with \mathfrak{p} show that the natural inclusion

$$gK \in G/K \rightarrow gK_{\mathbb{C}} \in U_{\mathbb{C}}/K_{\mathbb{C}}$$

is a diffeomorphism from G/K onto the fiber $Y(o)$, where o is the identity coset. We equip $Y(o)$ with the symmetric space metric given by this identification and use the transitive U -action among the fibers to give each $Y(x)$ a symmetric space metric; since K acts on $Y(o)$ by isometries this is well-defined. We denote this metric by g_Y and its Riemannian measure by ν_{g_Y} .

Let $\phi: U_{\mathbb{C}}/K_{\mathbb{C}} \rightarrow [0, \infty)$ be the function corresponding to the Riemannian length-squared function on TU/K under the identification (4), and let

$$Y_R(x) := \{\zeta \in Y(x) : \phi(\zeta) \leq R^2\}.$$

Let $K_{g_Y}(\cdot, t)$ denote the heat kernel for (Y, g_Y) evaluated at the base point x .

PROPOSITION 1. For all $f_\delta \in C_\delta(U/K)$, $t > 0$, $R > 0$, and $x \in U/K$,

$$f_\delta(x) = \int_{Y_R(x)} e^{-t\Delta} f_\delta(\cdot) K_{g_Y}(\cdot, t) v_{g_Y} \\ + \int_0^t \int_{\partial Y_R(x)} [K_{g_Y}(\cdot, s)(\hat{n}e^{-s\Delta} f_\delta) - (\hat{n}K_{g_Y}(\cdot, s)) e^{-s\Delta} f_\delta] v_{\partial Y_R} ds,$$

where \hat{n} is the outward unit normal vector field on ∂Y_R and $v_{\partial Y_R}$ is the Riemannian measure of the induced metric on ∂Y_R .

Proof. This can be proved in the same way as [3, Theorem 0.3] using the estimate (6) below. The starting point is the following relationship between the Laplacians Δ on U/K and Δ_{g_Y} on Y .

PROPOSITION 2 [3]. For every holomorphic function F on $U_{\mathbf{C}}/K_{\mathbf{C}}$, there is a neighborhood W of U/K in $U_{\mathbf{C}}/K_{\mathbf{C}}$ such that $\Delta(F|_{U/K})$ and $-\Delta_{g_Y}(F|_{Y \cap W})$ have the same analytic continuation to W .

Proof. We use the notation of [3, Definition 1.13]. By [3, Theorem 8.5.1], $g^Y = -g_Y$. By [3, Theorem 1.19.ii], $\Delta_{g_Y} = -\Delta^Y$. The proposition now follows from [3, Theorem 1.16]. ■

By [5, Lemma 13], $e^{-t\Delta} f_\delta$, $\Delta e^{-t\Delta} f_\delta$, and $\partial_t e^{-t\Delta} f_\delta$ can be analytically continued to $U_{\mathbf{C}}/K_{\mathbf{C}}$. Analytically continuing the equation $(\Delta + \partial_t) e^{-t\Delta} f_\delta = 0$ and restricting to Y_R , we obtain

$$(-\Delta_{g_Y} + \partial_t)(e^{-t\Delta} f_\delta|_{Y_R}) = 0$$

for all t , $R > 0$. As in the proof of [3, Theorem 0.3], we multiply by K_{g_Y} and integrate: for $0 < \varepsilon < t$,

$$\int_\varepsilon^t \int_{Y_R(x)} K_{g_Y}(\cdot, s)(-\Delta_{g_Y} + \partial_s) e^{-s\Delta} f_\delta v_{g_Y} ds = 0.$$

The integral is absolutely convergent for $0 < \varepsilon < t < \infty$ and $R < \infty$. Integrating by parts in s , using $(\Delta_{g_Y} + \partial_s) K_{g_Y} = 0$, and applying Green's second identity on Y_R gives

$$\int_{Y_R(x)} K_{g_Y}(\cdot, \varepsilon) e^{-\varepsilon\Delta} f_\delta v_{g_Y} = \int_{Y_R(x)} K_{g_Y}(\cdot, t) e^{-t\Delta} f_\delta v_{g_Y} \\ + \int_\varepsilon^t \int_{\partial Y_R(x)} [K_{g_Y}(\cdot, s)(\hat{n}e^{-s\Delta} f_\delta) \\ - (\hat{n}K_{g_Y}(\cdot, s)) e^{-s\Delta} f_\delta] v_{\partial Y_R} ds. \quad (5)$$

To prove the proposition we let $\varepsilon \rightarrow 0^+$. To estimate the integral over ∂Y_R we note that since f_δ is an eigenfunction of Δ , it is clear that $|e^{-s\Delta}f|$, resp. $|\hat{n}e^{-s\Delta}f|$, is uniformly bounded on $(0, \infty) \times Y_R$, resp. $(0, \infty) \times \partial Y_R$. For the heat kernel K_{g_Y} we have the estimate

$$\text{for all } (s, \zeta) \in (0, t] \times Y, \quad \|d_s^i d_\zeta^j K_{g_Y}(\zeta, s)\| \leq C(t) s^{-n/2-i-j} e^{-d_{g_Y}^2(x, \zeta)/4s} \quad (6)$$

([1]; here x is the base point of Y , d_{g_Y} is the Riemannian distance in Y , and $\|\cdot\|$ is the g_Y norm). This shows that the integral over ∂Y_R is absolutely convergent as $\varepsilon \rightarrow 0^+$. The left side of (5) approaches $f_\delta(x)$ as $\varepsilon \rightarrow 0^+$ because f_δ is an eigenfunction and $K_{g_Y}(\cdot, \varepsilon) \nu_{g_Y}$ approaches the Dirac measure at the base point x as $\varepsilon \rightarrow 0$. ■

The following lemma gives the control over the growth of the joint eigenfunctions in the complex domain needed to prove the inversion formula for joint eigenfunctions. Choose a Cartan subalgebra \mathfrak{h} of $\mathfrak{u}_\mathbb{C}$ and an ordering of the roots, and let λ denote the highest weight of δ (extended to a representation of $\mathfrak{u}_\mathbb{C}$).

LEMMA 1. *There is an $M > 0$ (depending only on the metric g) such that for all $\delta \in \hat{U}_K$, $f_\delta \in C_\delta(U/K)$ analytically continued to $U_\mathbb{C}/K_\mathbb{C}$, $\zeta \in Y$,*

$$\begin{aligned} |f_\delta(\zeta)| &\leq d(\delta)^{1/2} \|f_\delta\|_{L^2(U/K)} e^{|\lambda|_K M d_{g_Y}(x, \zeta)} \\ |\hat{n}f_\delta(\zeta)| &\leq M |\lambda|_K d(\delta)^{1/2} \|f_\delta\|_{L^2(U/K)} e^{|\lambda|_K M d_{g_Y}(x, \zeta)}, \end{aligned}$$

where d_{g_Y} is the distance function on Y , $d(\delta)$ is the dimension of V_δ , and $|\cdot|_K$ is the Killing form norm.

Proof. Since U is simply connected, each representation δ extends to a holomorphic representation of $U_\mathbb{C}$, still denoted by δ , and $\delta(K_\mathbb{C})\mathbf{e} = \mathbf{e}$ (since this holds on the real part K). The analytic continuation of $f_\delta(uK) = \langle \mathbf{v}, \delta(u)\mathbf{e} \rangle$ to $U_\mathbb{C}/K_\mathbb{C}$ is given explicitly by $f_\delta(gK_\mathbb{C}) = \langle \mathbf{v}, \delta(\bar{g})\mathbf{e} \rangle$, where the bar denotes complex conjugation of $U_\mathbb{C}$ about U (note that \langle, \rangle is conjugate linear in the second factor). By (4), given a coset $\zeta = gK_\mathbb{C}$ in $Y(x)$ there is a representative g such that $\bar{g} = u \exp V$ with $u \in U$, $V \in \mathfrak{p}$. Let $\mathfrak{a} = \mathfrak{h} \cap \mathfrak{p}$. Since $\text{Ad}(K)\mathfrak{a} = \mathfrak{p}$, we may assume $\bar{g} = u \exp H$ with $u \in U$, $H \in \mathfrak{a}$. Since $|\mathbf{e}| = 1$ and δ is unitary, we have

$$\begin{aligned} |f_\delta(gK_\mathbb{C})| &= |\langle \mathbf{v}, \delta(u \exp H)\mathbf{e} \rangle| \leq |\mathbf{v}| |e^{\delta(H)}| \\ &= |\mathbf{v}| \max_{\lambda_i \text{ weights}} e^{|\lambda_i(H)|} \\ &\leq |\mathbf{v}| e^{|\lambda|_K |H|_K}, \end{aligned}$$

where λ is the highest weight, the last inequality by [8, Eq. (7), p. 498]. By the Schur orthogonality relations, $\|f_\delta\|_{L^2(U/K)}^2 = |\mathbf{v}|^2 d(\delta)^{-1}$, where $d(\delta)$ is the dimension of V_δ (here we have used the normalization $\text{vol}(U/K) = 1$). Since the Killing form norm on \mathfrak{p} is comparable to the $\text{Ad}(K)$ -invariant inner product induced by $g_{Y(o)}$, there is a positive constant M (depending only on g) such that $|H|_K \leq M |H|_{g_{Y(o)}}$. The unique unit speed geodesic from x to ζ is $\gamma(t) = u \exp(-tH/|H|_{g_{Y(o)}})$, so $d_{g_{Y(x)}}(x, \zeta) = |H|_{g_{Y(o)}}$ (note also this shows $\phi(\zeta) = d_{g_{Y(x)}}^2(x, \zeta)$). This gives the estimate for f_δ .

The unit normal to ∂Y_R at $\zeta = u \exp -HK_C$ is $\gamma(R)$, $R = |H|_{g_{Y(o)}}$. Then

$$\begin{aligned} \hat{n}f_\delta(\zeta) &= \left. \frac{d}{dt} \right|_{t=R} \langle \mathbf{v}, \delta(u \exp -tH/R) \mathbf{e} \rangle \\ &= \langle \mathbf{v}, \delta(u \exp -H) \delta(-H) \mathbf{e} \rangle / R. \end{aligned}$$

The estimate for $|\hat{n}f_\delta(\zeta)|$ follows similarly. \blacksquare

We can now prove the inversion formula for the Segal–Bargmann transform acting on the joint eigenfunctions.

PROPOSITION 3. *For all $f_\delta \in C_\delta(U/K)$, $t > 0$, $x \in U/K$,*

$$f_\delta(x) = \int_{Y(x)} e^{-t\Delta} f_\delta(\cdot) K_{g_Y}(\cdot, t) v_{g_Y}$$

and the integral is absolutely convergent.

Proof. We let $R \rightarrow \infty$ in Proposition 1. In the proof of Lemma 1 we showed that $d_{g_Y}^2(x, \zeta) = \phi(\zeta)$. So for $\zeta \in \partial Y_R$, $d_{g_Y}(x, \zeta) = R$. By Lemma 1 and (6) there are positive constants C_i (depending only on δ, f_δ, t , and g) such that for all $(s, \zeta) \in (0, t] \times \partial Y_R$,

$$\begin{aligned} |e^{-s\Delta} f_\delta(\zeta)| &\leq C_1 e^{-sc_\delta(\Delta) + C_2 R}, & |\hat{n}e^{-s\Delta} f_\delta(\zeta)| &\leq C_3 e^{-sc_\delta(\Delta) + C_2 R} \\ |K_{g_Y}(\zeta, s)| &\leq C_4 s^{-n/2} e^{-R^2/4s}, & |\hat{n}K_{g_Y}(\zeta, s)| &\leq C_4 s^{-n/2-1} e^{-R^2/4s}. \end{aligned}$$

Then we can estimate the boundary integral in Proposition 1 as $R \rightarrow \infty$ by

$$\begin{aligned} &\int_0^t \int_{\partial Y_R} |K_{g_Y}(\cdot, s)(\hat{n}e^{-s\Delta} f_\delta) - (\hat{n}K_{g_Y}(\cdot, s)) e^{-s\Delta} f_\delta| v_{\partial Y_R} ds \\ &\leq C_5 \text{vol}(\partial Y_R) e^{C_2 R} \int_0^t s^{-n/2-1} e^{-R^2/4s} ds \\ &\leq C_6 \text{vol}(\partial Y_R) e^{C_2 R - R^2/8t}. \end{aligned}$$

The Ricci curvature of g_Y is bounded below by a negative constant (since a symmetric space has a transitive group of isometries). Following the proof of [2, Theorem 6], we have $\text{vol}(\partial Y_R) \leq C_7 e^{C_8 R}$. This shows that the boundary integral in Proposition 1 goes to zero as $R \rightarrow \infty$. Similarly one can show that the integral over Y converges absolutely. ■

3. PROOF OF THEOREMS 1 AND 2

Proof of Theorem 1. It is possible to prove the inversion formula for functions in the range of $e^{-\varepsilon \Delta}$ for some $\varepsilon > 0$ by brute force using the estimates above. But to prove Theorems 1 and 2 in this fashion would require unreasonably sharp estimates on $e^{-t\Delta} f$ and K_{g_Y} . We will instead take the following approach, which was suggested to us by B. Hall. For fixed $t > 0$, consider the linear operator

$$M_R f(x) = \int_{Y_R(x)} e^{-t\Delta} f(\cdot) K_{g_Y}(\cdot, t) \nu_{g_Y}. \quad (7)$$

The integral is absolutely convergent for $R < \infty$ and maps $L^2(U/K)$ into smooth functions. M_R commutes with the action of U on $L^2(U/K)$, since the action commutes with the heat operator and with analytic continuation and acts by isometries among the fibers (Y, g_Y) . The kernel of M_R is a U -invariant subspace, so the restriction of M_R to an irreducible representation space is either a linear isomorphism onto its image or identically zero. Since the representations $C_\delta(U/K)$ in the decomposition (3) are irreducible and inequivalent, there is a constant $m_{R, \delta}$ such that

$$M_R|_{C_\delta(U/K)} = m_{R, \delta} I.$$

We claim that the $m_{R, \delta}$ are positive and increase with R . Applying M_R to $f_\delta(\cdot) = \langle \mathbf{e}, \delta(\cdot) \mathbf{e} \rangle$ and evaluating at o gives

$$m_{R, \delta} = e^{-t c_\delta(\Delta)} \int_{gK_{\mathbf{C}} \in Y_R(o)} \langle \mathbf{e}, \delta(\bar{g}) \mathbf{e} \rangle K_{g_Y}(gK_{\mathbf{C}}, t) \nu_{g_Y}.$$

Each coset $gK_{\mathbf{C}} \in Y(o)$ has a representative g with $\bar{g} = \exp \sqrt{-1} V$, $V \in \mathfrak{p}_*$. Since $\delta(\mathbf{u})$ consists of skew-symmetric matrices, $\delta(\sqrt{-1} V)$ is symmetric with real eigenvalues and so $\delta(\bar{g}) = e^{\delta(\sqrt{-1} V)}$ is positive definite. Since K_{g_Y} is positive, the claim follows. Proposition 3 shows that $\lim_{R \rightarrow \infty} m_{R, \delta} = 1$.

To prove the theorem we argue as in the proof of [6, Theorem 1]. If F is a holomorphic function on $U_{\mathbf{C}}/K_{\mathbf{C}}$ and $F|_{U/K} = \sum_{\delta \in \hat{U}_K} (F|_{U/K})_\delta$ is the decomposition (3), then the termwise analytic continuation of this series converges uniformly and absolutely on compacta in $U_{\mathbf{C}}/K_{\mathbf{C}}$ to F (cf. [5,

Lemma 9]). It follows that $e^{-tA}f = \sum_{\delta \in \hat{U}_K} e^{-tc_\delta(A)} f_\delta$ with uniform and absolute convergence on compacta in U_C/K_C . Inserting this into (7) gives $M_R f = \sum_{\delta \in \hat{U}_K} m_{R,\delta} f_\delta$. Since the series is orthogonal, its convergence in $L^2(U/K)$ to f follows from monotone convergence. ■

Proof of Theorem 2. It suffices to show that the integral is absolutely convergent, for then the pointwise limit $\lim_{R \rightarrow \infty} \int_{Y_R(x)} e^{-tA} f K_{g_Y} v_{g_Y} = \int_{Y(x)} e^{-tA} f K_{g_Y} v_{g_Y}$ is equal to f in $L^2(U/K)$ by Theorem 1. Since the integral depends continuously on parameters they must be equal everywhere.

In the proof of Lemma 1 we observed that the analytic continuation of f_δ is $f_\delta(gK_C) = \langle \mathbf{v}, \delta(\bar{g}) \mathbf{e} \rangle$. Write $e^{-tA} f(\cdot) = \sum e^{-tc_\delta(A)} \langle \mathbf{v}, \delta(\cdot) \mathbf{e} \rangle$. We will fix x and estimate $|\langle \mathbf{v}, \delta(\bar{\zeta}) \mathbf{e} \rangle|$ for $\zeta \in Y(x)$. Define $\mathbf{w} \in V_\delta$ by $\mathbf{w} = \delta(u) \mathbf{e}$ where $x = uK$, and note that \mathbf{w} does not depend on the choice of representative u . Writing $\zeta \in Y(x)$ as $\zeta = u \exp \sqrt{-1} VK_C$ with $\sqrt{-1} V \in \mathfrak{p}$, we see that $\langle \mathbf{w}, \delta(\bar{\zeta}) \mathbf{e} \rangle$ is strictly positive on $Y(x)$. We claim that for all $\zeta \in Y(x)$ and $\mathbf{v} \in V_\delta$,

$$|\langle \mathbf{v}, \delta(\bar{\zeta}) \mathbf{e} \rangle| \leq |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} \langle \mathbf{w}, \delta(\bar{\zeta}) \mathbf{e} \rangle,$$

where \mathbf{c} is the Harish–Chandra \mathbf{c} -function, $\bar{\lambda}$ is the restriction of the highest weight of δ to \mathfrak{a} , and ρ is one-half the sum of the positive restricted roots (with multiplicities; we have fixed a Weyl chamber \mathfrak{a}^+). To prove this we write $\bar{\zeta} = u' \exp HK_C$ with H in the closure of \mathfrak{a}^+ , $u' \in U$, and $u'K = x$. Then

$$|\langle \mathbf{v}, \delta(\bar{\zeta}) \mathbf{e} \rangle| \leq |\mathbf{v}| \max_{\bar{\lambda}_i \text{ restricted weights}} e^{\bar{\lambda}_i(H)} \leq |\mathbf{v}| e^{\bar{\lambda}(H)}$$

(the last inequality follows from the expression $\bar{\lambda}_i = \bar{\lambda} - \sum m_k \bar{\alpha}_k$ where $m_k \in \mathbf{Z}_+$ and $\bar{\alpha}_k$ are positive restricted roots). Let $\mathbf{u}_1, \dots, \mathbf{u}_d$ be an orthonormal basis of V_δ consisting of weight vectors with corresponding weights λ_i , and let $\lambda_d = \lambda$ be the highest weight. Then $|\langle \mathbf{e}, \mathbf{u}_d \rangle|^2 = \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho)) > 0$ (see [8, Chap. V, Sect. 4, Eqs. (7), (8)]). Then

$$\begin{aligned} |\langle \mathbf{v}, \delta(\bar{\zeta}) \mathbf{e} \rangle| &\leq |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} |\langle \mathbf{e}, \mathbf{u}_d \rangle|^2 e^{\lambda_d(H)} \\ &\leq |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} \sum_{k=1}^d |\langle \mathbf{e}, \mathbf{u}_k \rangle|^2 e^{\lambda_k(H)} \\ &= |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} \langle \delta(u') \mathbf{e}, \delta(u' \exp(H)) \mathbf{e} \rangle \\ &= |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} \langle \mathbf{w}, \delta(\bar{\zeta}) \mathbf{e} \rangle \end{aligned}$$

which proves the claim.

Keeping x and \mathbf{w} fixed, we apply Proposition 3 to the joint eigenfunction $y \in U/K \rightarrow \langle \mathbf{w}, \delta(y) \mathbf{e} \rangle$ to obtain

$$e^{-t\epsilon_\delta(A)} \int_{Y(x)} \langle \mathbf{w}, \delta(\cdot) \mathbf{e} \rangle K_{g_Y}(\cdot, t) \nu_{g_Y} = \langle \mathbf{w}, \delta(x) \mathbf{e} \rangle = 1,$$

and so, by the claim above,

$$\int_{Y(x)} |e^{-tA} f(\cdot)| K_{g_Y}(\cdot, t) \nu_{g_Y} \leq \sum_{\delta \in \hat{U}_K} |\mathbf{v}| \mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1}. \quad (8)$$

There are positive constants C_1, C_2, p such that

$$\mathbf{c}(-\sqrt{-1}(\bar{\lambda} + \rho))^{-1} \leq C_1 + C_2 |\bar{\lambda} + \rho|_K^p$$

([8, Proposition 7.2, Chap. IV]; since λ is a highest weight, $\bar{\lambda} + \rho$ is in \mathfrak{a}_+^*). If $f \in C^\infty(U/K)$, then the coefficients $|\mathbf{v}|$ decrease faster than any polynomial in $|\bar{\lambda}|_K$, and the sum in (8) converges. ■

4. PROOF OF THEOREM 3

Let $\eta: U_{\mathbf{C}}/K_{\mathbf{C}} \rightarrow U_{\mathbf{C}}/K_{\mathbf{C}}$ be the diffeomorphism corresponding to multiplication by 2 in the fibers of TU/K under the identification (4); i.e., $\eta(uyK_{\mathbf{C}}) = uy^2K_{\mathbf{C}}$ for $u \in U, y \in \exp \mathfrak{p}$. By the Riesz Representation Theorem there is a unique measure ω_t on $U_{\mathbf{C}}/K_{\mathbf{C}}$ such that for $F \in C_c(U_{\mathbf{C}}/K_{\mathbf{C}})$,

$$\int_{U_{\mathbf{C}}/K_{\mathbf{C}}} F \omega_t = \int_{x \in U/K} \int_{Y(x)} F(\cdot) \eta^*(K_{Y(x)}(\cdot, 2t) \nu_{Y(x)}) \nu_{U/K},$$

where $\nu_{U/K}$ is the Riemannian measure on U/K (we normalize the metric so $\text{vol}(U/K) = 1$). Let $\pi_{\mathbf{C}}: U_{\mathbf{C}} \rightarrow U_{\mathbf{C}}/K_{\mathbf{C}}$ be the (holomorphic) coset projection. Since $\pi_{\mathbf{C}}$ restricted to $\exp \mathfrak{p}$ is a diffeomorphism onto $Y(o)$, this can be written as

$$\int_{U_{\mathbf{C}}/K_{\mathbf{C}}} F \omega_t = \int_{y \in \exp \mathfrak{p}} \int_{u \in U} F \circ \pi_{\mathbf{C}}(uy) du (\eta \circ \pi_{\mathbf{C}}|_{\exp \mathfrak{p}})^*(K_{Y(o)}(\cdot, 2t) \nu_{Y(o)}).$$

Here du is the Haar measure on U normalized by $\text{vol}(U) = 1$, and we have used [8, Theorem 1.9, Chap. I], the fact that $\tau(u): Y(o) \rightarrow Y(uK)$ is an isometry, and $\tau(u)$ commutes with η for $u \in U$. Let \mathcal{H}_t denote the subspace of holomorphic functions in $L^2(U_{\mathbf{C}}/K_{\mathbf{C}}, \omega_t)$.

Proof of Theorem 3. Let V be the algebraic span of the joint eigenspaces $C_\delta(U/K)$, $\delta \in \hat{U}_K$. We argue as in the idea of the proof of [6,

Theorem 2], to show that the Segal–Bargmann transform is an isometry from V into \mathcal{H}_t : for $f \in V$,

$$\begin{aligned} \|e^{-tA}f\|_{\mathcal{H}_t}^2 &= \int_{y \in \exp \mathfrak{p}} \int_{u \in U} |e^{-tA}f|^2 \circ \pi_{\mathbf{C}}(uy) \, du \\ &\quad \times (\eta \circ \pi_{\mathbf{C}}|_{\exp \mathfrak{p}})^* (K_{Y(o)}(\cdot, 2t) \nu_{Y(o)}) \\ &= \int_{y \in \exp \mathfrak{p}} \int_{u \in U} e^{-tA}f \circ \pi_{\mathbf{C}}(uy) e^{-tA}\bar{f} \circ \pi_{\mathbf{C}}(uy^{-1}) \, du \\ &\quad \times (\eta \circ \pi_{\mathbf{C}}|_{\exp \mathfrak{p}})^* (K_{Y(o)}(\cdot, 2t) \nu_{Y(o)}). \end{aligned}$$

Here $\bar{f}(\cdot)$ denotes the holomorphic function on $U_{\mathbf{C}}/K_{\mathbf{C}}$ whose restriction to U/K is \bar{f} . Using [6, Lemma 9], to make the “holomorphic change of variables” $w = uy^{-1}$ and Fubini’s Theorem, we find that the above is equal to

$$\int_{u \in U} e^{-tA}\bar{f}(uK) \int_{y \in \exp \mathfrak{p}} e^{-tA}f \circ \pi_{\mathbf{C}}(uy^2) (\eta \circ \pi_{\mathbf{C}}|_{\exp \mathfrak{p}})^* (K_{Y(o)}(\cdot, 2t) \nu_{Y(o)}) \, du.$$

Since $f \in V$, there is a $g \in V$ such that $e^{-tA}g = f$. Changing variables in the inner integral by $\tau(u) \circ \eta \circ \pi_{\mathbf{C}}|_{\exp \mathfrak{p}}: \exp \mathfrak{p} \rightarrow Y(uK)$ gives

$$\|e^{-tA}f\|_{\mathcal{H}_t}^2 = \int_{u \in U} e^{-tA}\bar{f}(uK) \int_{Y(uK)} e^{-2tA}g(\cdot) K_{Y(uK)}(\cdot, 2t) \nu_{Y(uK)} \, du.$$

Proposition 3 and the fact that e^{-tA} is formally self-adjoint give

$$\|e^{-tA}f\|_{\mathcal{H}_t}^2 = \int_{u \in U} e^{-tA}\bar{f}(uK) g(uK) \, du = \int_{U/K} \bar{f}f \nu_{U/K}.$$

To show that the Segal–Bargmann transform is an isometry from $L^2(U/K)$ onto \mathcal{H}_t , it suffices to show that the image of V is dense in \mathcal{H}_t . We will briefly indicate how the arguments in [5, Lemmas 9, 10], can be adapted to our situation. The projection of $f \in L^2(U/K)$ onto $C_{\delta}(U/K)$ can be written as

$$f_{\delta}(x) = d(\delta) \operatorname{Tr}(\delta(x) A_{\delta, f}), \quad \text{where } A_{\delta, f} = \int_U \delta(u^{-1})f(u) \, du$$

(here δ is the representation contragredient to δ ; see [8, Lemma 1.7, Corollary 1.8, Chap. IV]). Given a holomorphic function F on $U_{\mathbf{C}}/U_{\mathbf{C}}$, consider the “holomorphic Fourier series” (HFS)

$$F(gK_{\mathbf{C}}) = \sum_{\delta \in \hat{U}_K} d(\delta) \operatorname{Tr}(\delta(g) A_{\delta, F|_{U/K}}).$$

The proof of [5, Lemma 9] shows that the HFS converges uniformly and absolutely on compact subsets of $U_{\mathbf{C}}/K_{\mathbf{C}}$. Using the $\tau(U)$ -invariance of ω_t , one shows exactly as in [5, Lemma 10] that the terms in the HFS are orthogonal in $L^2(U_{\mathbf{C}}/K_{\mathbf{C}}, \omega_t)$ and remain orthogonal when integrated over any $\tau(U)$ -invariant subset of $U_{\mathbf{C}}/K_{\mathbf{C}}$. The rest of the proof of [5, Lemma 10] goes through unchanged to show that the HFS for F converges to F in $L^2(U_{\mathbf{C}}/K_{\mathbf{C}})$. Since each term in the HFS is in the image of V , the image is dense in \mathcal{H}_t . ■

5. COMPARISON WITH B. HALL'S INVERSION FORMULA

Let H be a compact, simply connected semisimple Lie group with a bi-invariant metric g_H (normalized to unit volume) and Laplacian $\Delta_H \geq 0$. Let

$$P = \{\exp \sqrt{-1} V : V \in \mathfrak{h}\} \subset H_{\mathbf{C}}$$

with the metric g_P obtained by identifying P with the symmetric space $H_{\mathbf{C}}/H$ by the quotient map $q: H_{\mathbf{C}} \rightarrow H_{\mathbf{C}}/H$. Let Δ_P be the (nonnegative) Laplacian on P , let $\sigma_t(p)$ be the fundamental solution at the identity of the equation $(\partial_t + \Delta_P)u = 0$, and let dp be the Riemannian measure on P . With these conventions, B. Hall's inversion formula for the Segal–Bargmann transform is

$$f(h) = \int_P e^{-t\Delta_H} f(hp^2) \sigma_{t/A}(p) dp \quad (f \in C^\infty(H)).$$

Put $U = H \times H$, let K be the diagonal in $H \times H$, and let θ be the involutive automorphism of \mathfrak{u} given by $\theta(X, Y) = (Y, X)$. Then (\mathfrak{u}, θ) is an orthogonal symmetric Lie algebra, and U/K is a symmetric space of the compact type with respect to any U -invariant metric. We identify U/K with H by the U -equivariant map $\alpha((g, h)K) = gh^{-1}$ and give U/K the metric that makes α an isometry. There is a unique biholomorphic map $\alpha_{\mathbf{C}}: U_{\mathbf{C}}/K_{\mathbf{C}} \rightarrow H_{\mathbf{C}}$ extending α , and it is not hard to see that $\alpha_{\mathbf{C}}(Y(x))$ is $L_{\alpha(x)}P$, the left translate of P by $\alpha(x)$. We reconcile our inversion formula with Hall's in the following proposition.

PROPOSITION 4. For all $f \in C^\infty(H)$, $x \in U/K$, $t > 0$,

$$\int_{Y(x)} e^{-tA} \alpha^* f(\cdot) K_{g_Y}(\cdot, t) v_{g_Y} = \int_P e^{-tA_H} f(\alpha(x) p^2) \alpha_{t/4}(p) dp.$$

Proof. Changing variables by $\tau(\alpha(x), e): Y(o) \rightarrow Y(x)$ we may assume that $x = o$. Let $\text{sq}: P \rightarrow P$ be the diffeomorphism $\text{sq}(p) = p^2$ and let sqrt be its inverse. We will use the change of variables $\alpha_C^{-1} \circ \text{sq}: P \rightarrow Y(o)$. Since α_C is the biholomorphic extension of the isometry α , we have for the analytic continuations $(\alpha_C^{-1})^*(e^{-tA} \alpha^* f) = e^{-tA_H} f$. To prove the proposition it suffices to show that $(\text{sqrt} \circ \alpha_C)^* g_P = \frac{1}{4} g_{Y(o)}$. The main point is that $\text{sqrt} \circ \alpha_C$ is equivariant with respect to the group actions on P and $Y(o)$, as we now explain.

As above let G be the connected subgroup of U_C with Lie algebra $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$. Here $\mathfrak{p} = \{(\sqrt{-1} V, -\sqrt{-1} V): V \in \mathfrak{h}\}$, so

$$G = \{(z, \bar{z}): z \in H_C\}, \quad \text{and} \quad Y(o) = \{(z, \bar{z}) K_C: z \in H_C\}.$$

We will identify G with H_C by projection onto the first factor. G acts on $Y(o)$ as a subgroup of U_C , and H_C acts on P through its identification with H_C/H (not through the left action of H_C on H_C). The action of H_C on P is given explicitly by $\tau_P(z)(p) = \text{sqrt}(zp^2\bar{z}^{-1})$ (note that complex conjugation of H_C about H is a group automorphism). To show that $\text{sqrt} \circ \alpha_C$ is equivariant with respect to the $G_C \cong H_C$ action, let $(z, \bar{z}) \in G \cong H_C$, $(w, \bar{w}) K_C \in Y(o)$. Then

$$\begin{aligned} \text{sqrt} \circ \alpha_C \circ \tau((z, \bar{z}))((w, \bar{w})) &= \text{sqrt}(zw\bar{w}^{-1}\bar{z}^{-1}) \\ &= \tau_P(z)(\text{sqrt}(w\bar{w}^{-1})) = \tau_P(z) \circ \text{sqrt} \circ \alpha_C((w, \bar{w}) K_C), \end{aligned}$$

which is the desired equivariance property.

Since $g_{Y(o)}$ and g_P are $G \cong H_C$ invariant, it suffices to check that $(\text{sqrt} \circ \alpha_C)^* g_P = \frac{1}{4} g_{Y(o)}$ at the identity coset. The identification of U/K with H determines an inner product on \mathfrak{p}_* ; the metric g_Y on $Y(o)$ at the identity coset is given by (minus one times) this inner product under the usual identification of $T_o Y(o)$ with \mathfrak{p} . One computes

$$g_{Y(o)}((\sqrt{-1} V, -\sqrt{-1} V), (\sqrt{-1} W, -\sqrt{-1} W))_o = 4(V, W)_{\mathfrak{h}},$$

where $(\cdot, \cdot)_{\mathfrak{h}}$ is the $\text{Ad}(H)$ -invariant inner product on \mathfrak{h} determined by g_H . The metric on P at the identity coset is given by $-(\cdot, \cdot)_{\mathfrak{h}}$ under the identification of $T_e P$ with $\sqrt{-1} \mathfrak{h}$. One computes easily that the tangent map

at the identity coset in $Y(o)$ to $\text{sqrt} \circ \alpha_{\mathbf{C}}$ is simply projection onto the first factor, so

$$(\text{sqrt} \circ \alpha_{\mathbf{C}})^* g_P((\sqrt{-1} V, -\sqrt{-1} V), (\sqrt{-1} W, -\sqrt{-1} W))_o = (V, W)_{\mathfrak{g}}.$$

This proves that $(\text{sqrt} \circ \alpha_{\mathbf{C}})^* g_P = \frac{1}{4} g_{Y(o)}$. ■

ACKNOWLEDGMENT

We thank B. Hall for some very useful suggestions.

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